



UNIVERSITÀ  
DEGLI STUDI DI BARI  
ALDO MORO



# A novel SiPM-based aerogel RICH detector for the future ALICE 3 apparatus at the LHC

**Nicola Nicassio (University and INFN Bari)**

**ISSP – 60° Course: Special Session for New Talents**

**Erice, June 14-23, 2024**

**Detector concept**

**Simulation studies**

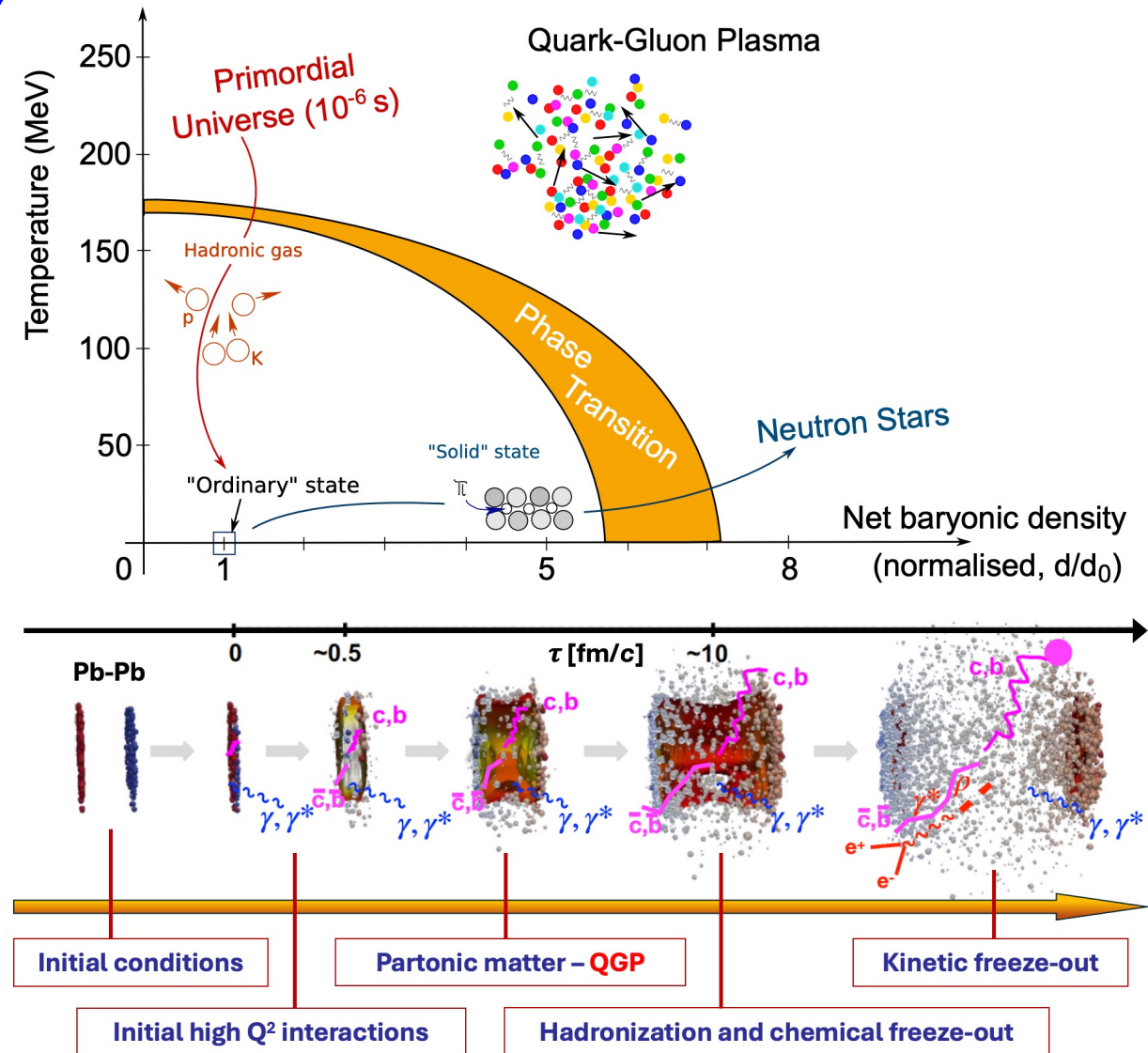
**Test beam results**

# The ALICE 3 upgrade

## ALICE 3 motivation and concept

- **ALICE main goal:** access the dynamics of the strongly interacting matter produced in heavy-ion collisions
- **Fundamental questions will remain open** after LHC Run 4, demanding for a next-generation experiment
- **Letter of Intent** for ALICE 3 submitted in March 2022  
[ALICE CERN-LHCC-2022-009](https://cds.cern.ch/record/2811113/files/ALICE_CERN-LHCC-2022-009)
- **Scoping document** submission by next few months

Processes	Observables
Early stages	Dilepton and photon production and flow
Diffusion	Heavy-flavour correlations and flow
Hadronization	Multi-charm baryons, quarkonia
Detector requirements	Pointing resolution: $\approx 10 \mu\text{m}$ at $200 \text{ MeV}/c$
	Tracking relative $p_T$ resolution: $\approx 1\text{-}2 \%$
	Extensive identification of $e, \mu, \pi, K, p, \gamma$
	Large pseudorapidity coverage: $ \eta  < 4$



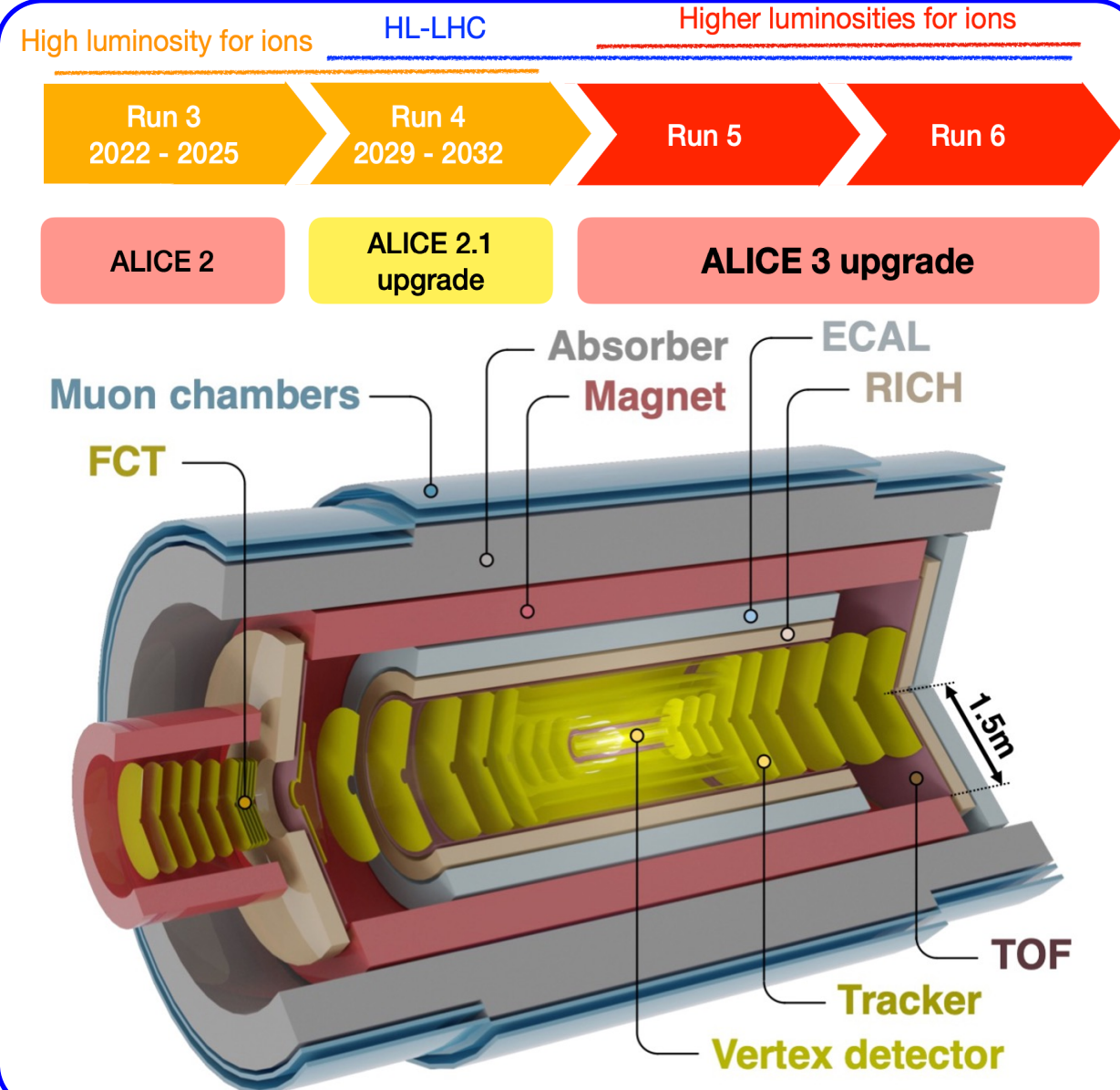
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# ALICE 3 barrel RICH motivation



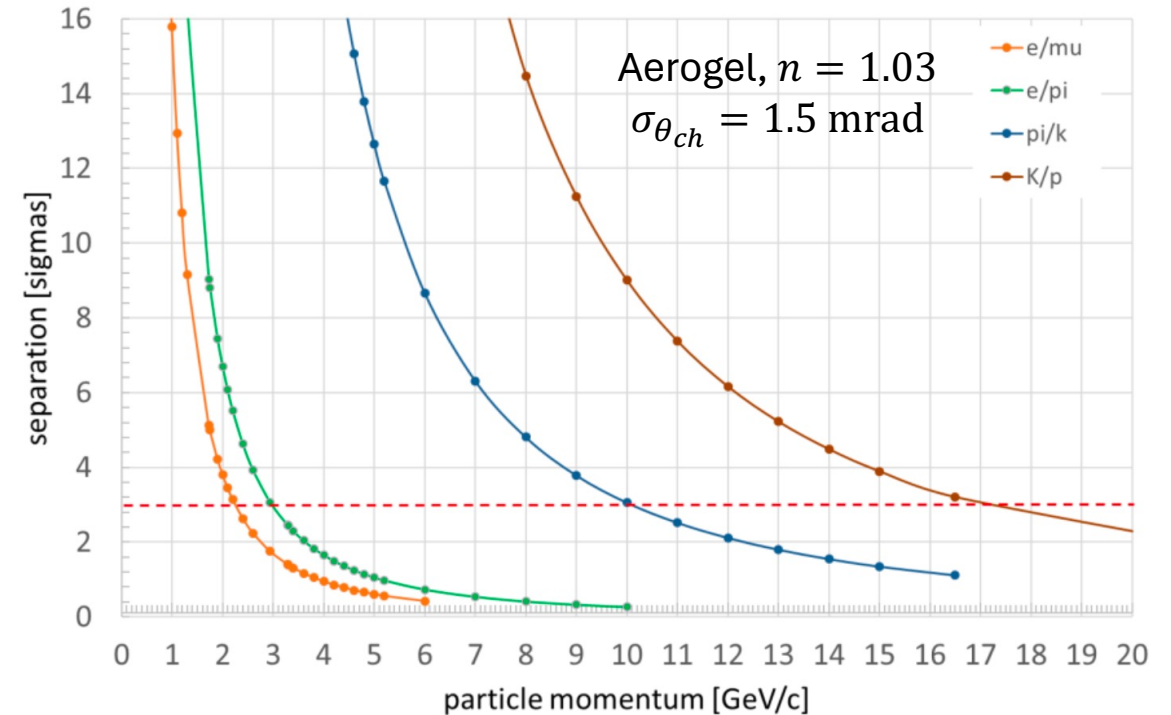
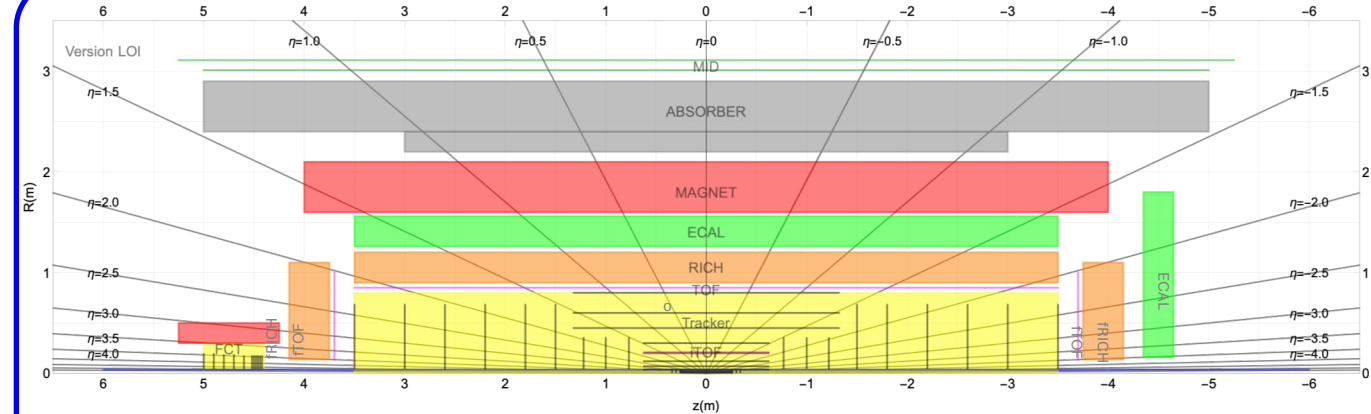
## ALICE 3 charged PID systems

- Time-Of-Flight: iTOF, oTOF, fTOF
- Ring-Imaging Cherenkov: bRICH, fRICH
- EM Calorimeter: Barrel + forward ECAL
- Muon Identifier Detector: Barrel MID

## Let's focus on the bRICH

## bRICH motivation

- Extend charged PID beyond the TOF limits
    - $\pi/e$  in the  $p$  range 0.5 – 2.0 GeV/c
    - $K/\pi$  in the  $p$  range 2.0 – 10.0 GeV/c
    - $p/K$  in the  $p$  range 4.0 – 16.0 GeV/c
- Achieved using aerogel radiator with  $n \approx 1.03$   
+ requiring angular resolution  $\sigma_{\theta_{ch}} \approx 1.5$  mrad



# bRICH technology

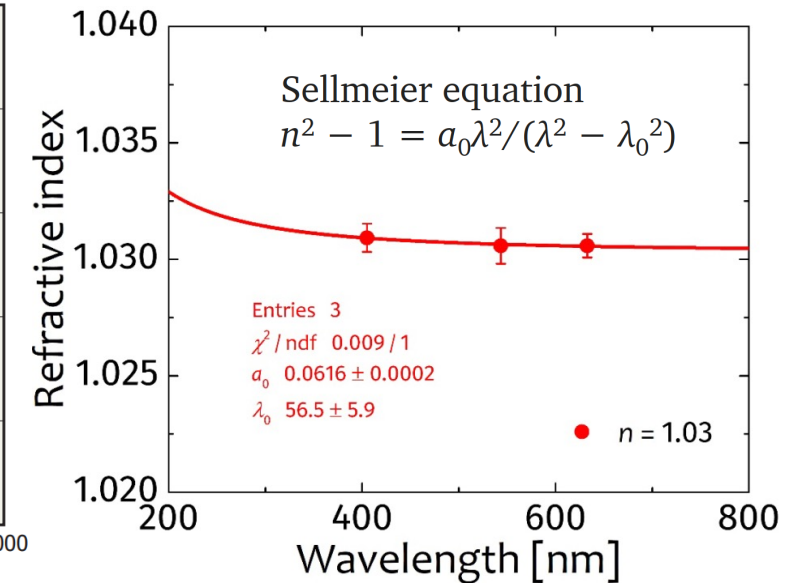
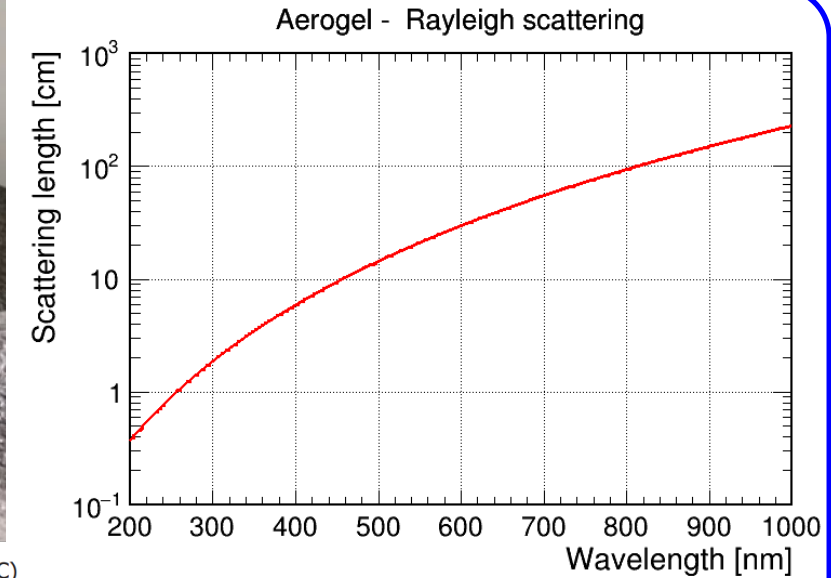
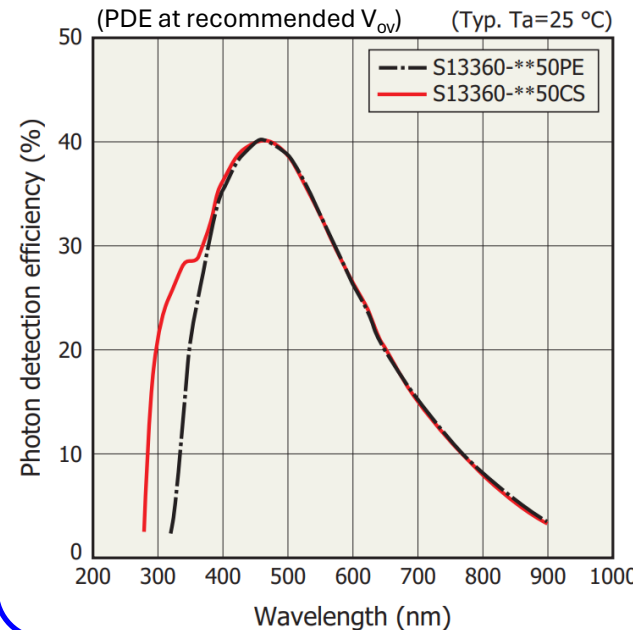
## Aerogel radiator ( $n=1.03$ , $L = 2$ cm)

- Lattice of  $\text{SiO}_2$  grains filled with trapped air
- Tunable index in the range 1.006-1.250
- Transmittance dominated by Rayleigh scattering
  - Transparent in the visible, opaque in the UV

## SiPM-based photodetector

- Sensors must be sensitive to visible light
- Operation in magnetic field
- Granularity from  $3 \times 3$  to  $1 \times 1$   $\text{mm}^2$
- Simulations: HPK 13360-3050CS SiPMs

Aerogel n	$\beta_{\text{th}}$	Momentum threshold [GeV/c]				
		e	$\mu$	$\pi$	K	p
1.01	0.99009901	0.0036	0.7453	0.9845	3.4821	6.6181
1.02	0.98039216	0.0025	0.5257	0.6944	2.4561	4.6681
1.03	0.97087379	0.0021	0.4281	0.5656	2.0005	3.8021
1.04	0.96153846	0.0018	0.3699	0.4886	1.7282	3.2846
1.05	0.95238095	0.0016	0.3300	0.4359	1.5420	2.9307



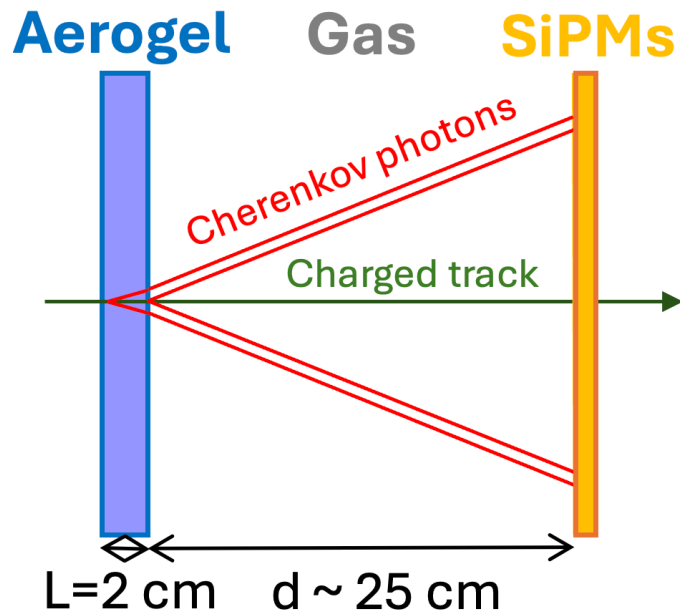
# ALICE 3 barrel RICH (LHC Runs 5-6)



## Proximity-focusing RICH based on aerogel+SiPMs in a projective geometry

### Components

- Aerogel:  $L \approx 2$  cm,  $n = 1.03$
- SiPM-based photodetector
  - $2 \times 2$  mm<sup>2</sup> cells, PDE(450 nm) > 40%

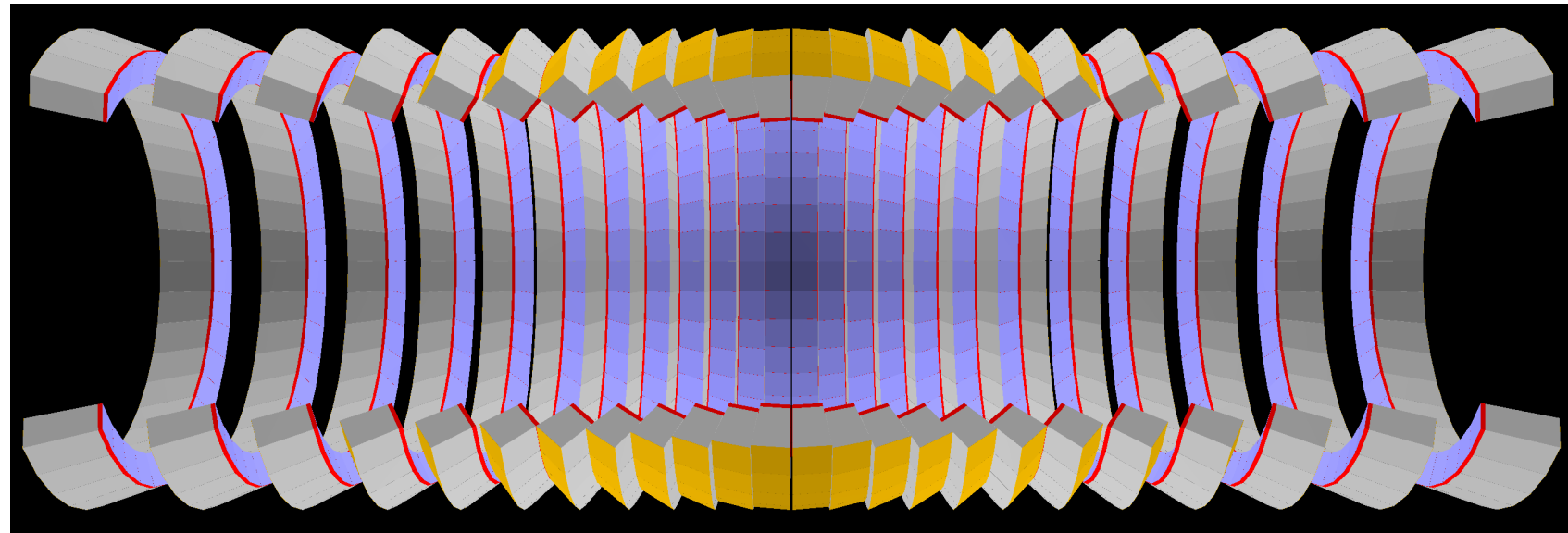


### Geometry

- All tiles oriented toward nominal interaction point
- Full coverage to charged particles without overlaps
- Trapezoidal tile profile to maximize the acceptance

### Segmentation

- 24 sectors x 36 modules
- Sensor area  $\approx 30.7$  m<sup>2</sup>
- Total N channels  $\approx 7$ M





# bRICH PID in central Pb-Pb

## Angle reconstruction

- Based on Hough Transform method
- Timing cut on hit-track matching
- HTM  $N_{ph,min}$  cut on clustered hits

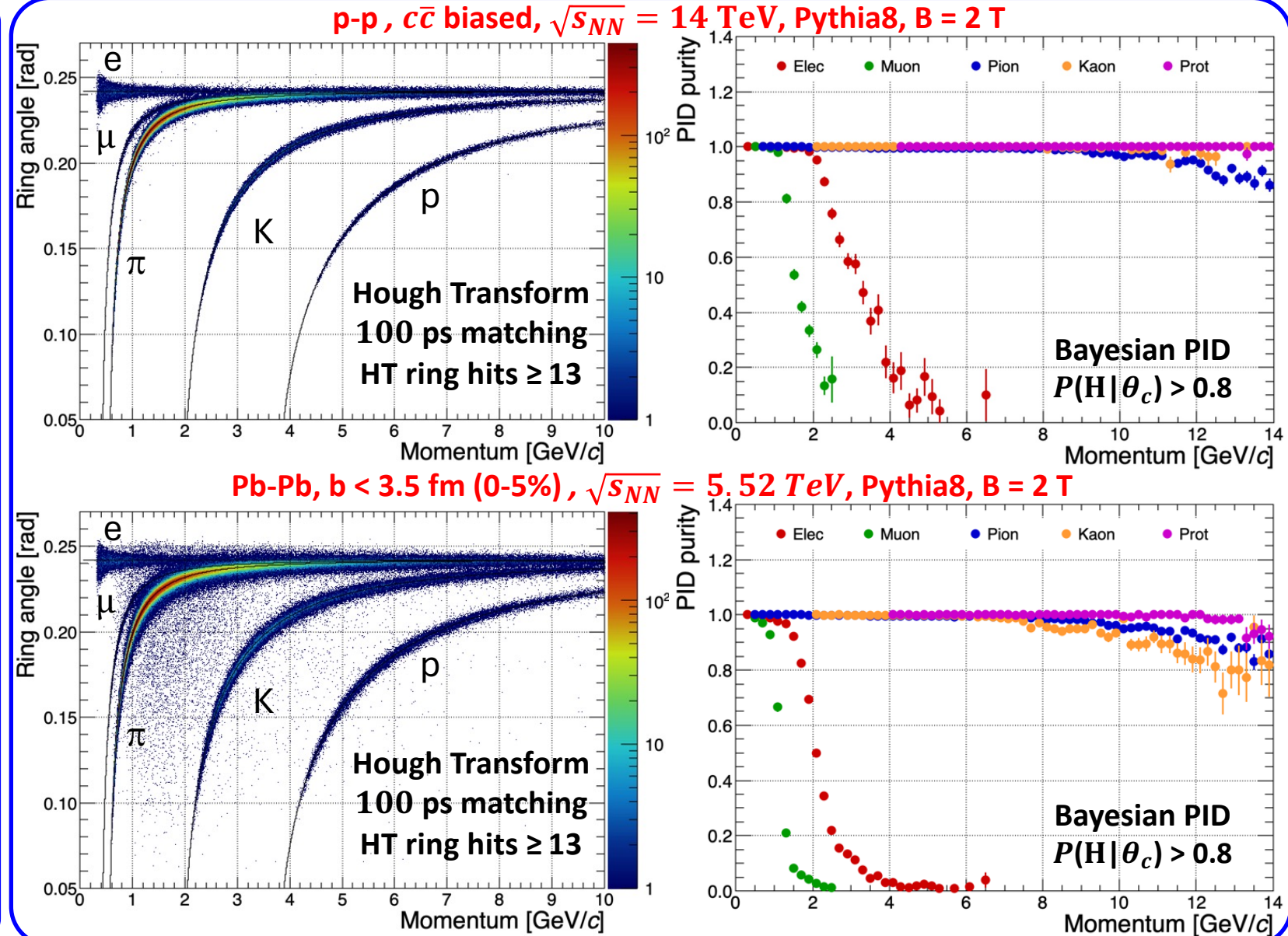
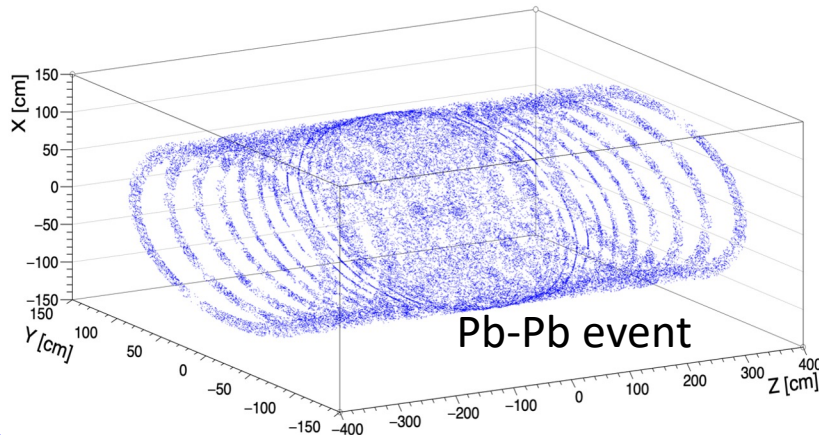
## Particle identification

- Bayesian approach + probability cut

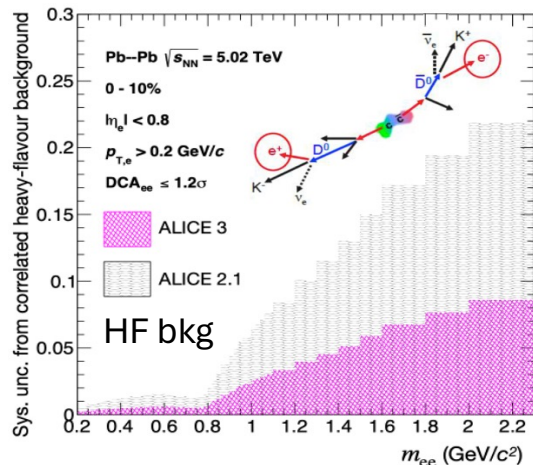
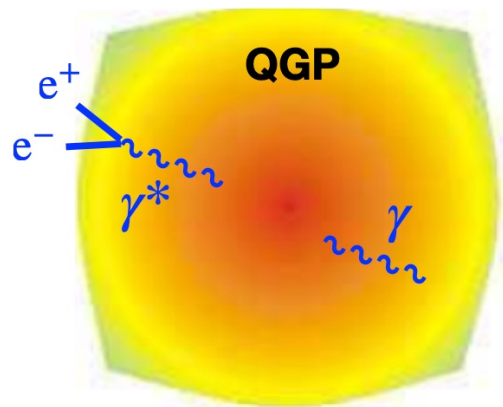
## Background

- Photons emitted by different tracks
- Aerogel Rayleigh scattered photons
- SiPM dark count hits (in DAQ gate)

## Photodetector hit map of Pb-Pb event



# bRICH physics case: Dileptons (I)



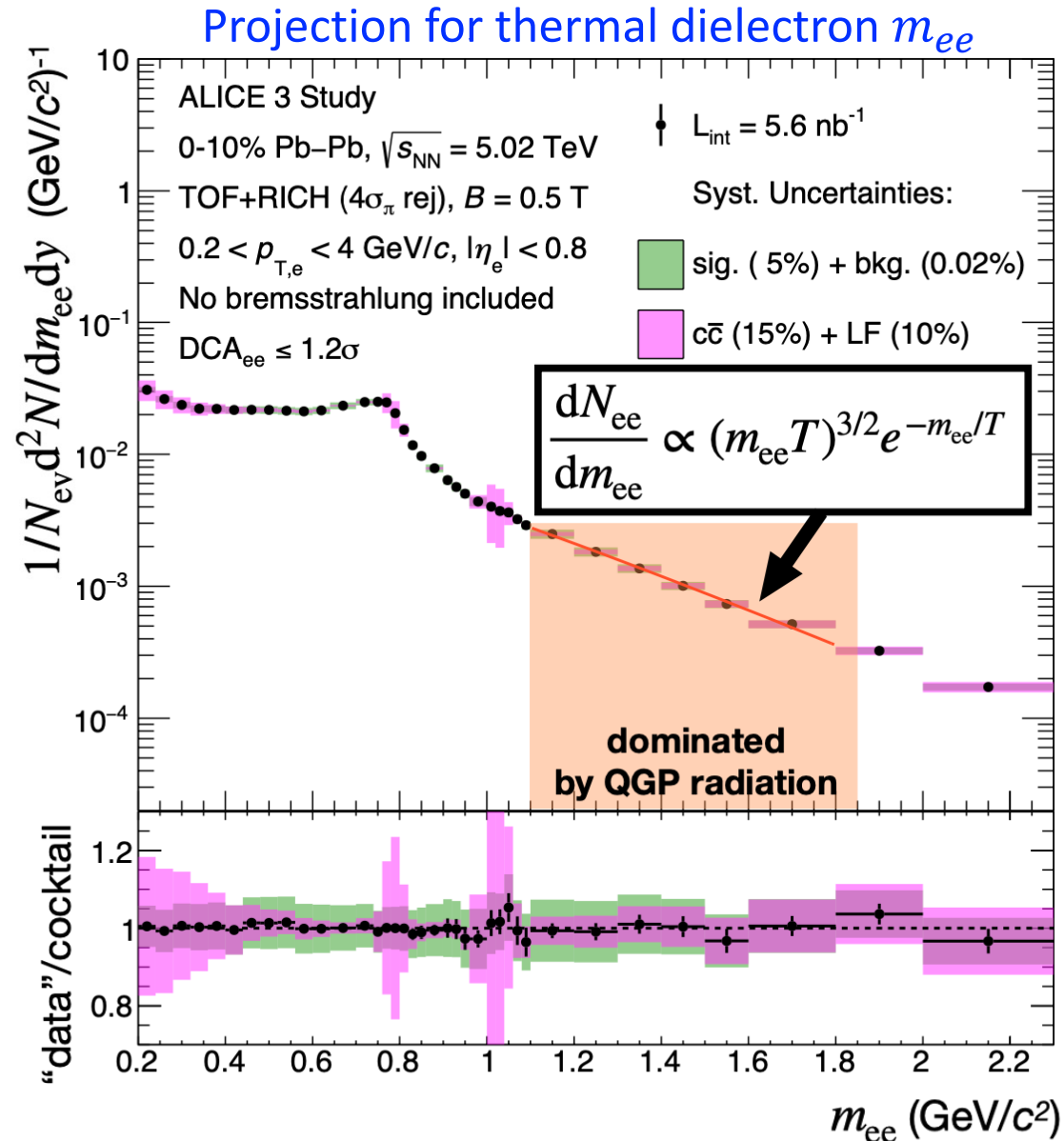
**Dileptons are produced in all stages of a heavy-ion collision**  
**No strong interactions  $\Rightarrow$  Messengers of collision evolution**

**Inference of QGP temperature  $T$  using thermal dielectron  $m_{ee}$  spectrum at  $m_{ee} > 1.1 \text{ GeV}/c^2$**

### Crucial requirements

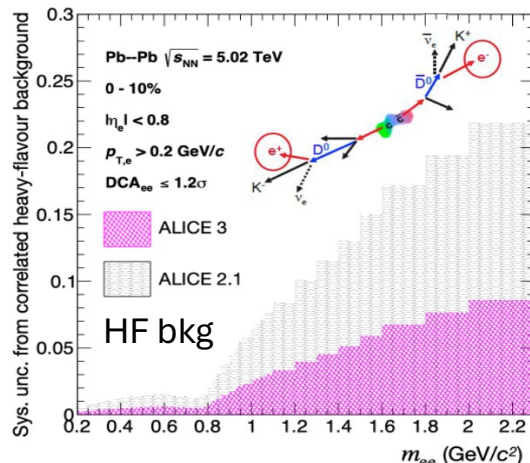
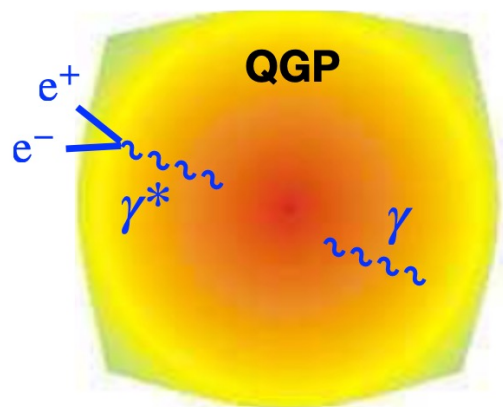
- Very good electron identification down to low  $p_T$
- Small material budget ( $\gamma$  conversion background)
- Good pointing resolution (heavy flavour decays)

**ALICE 3 RICH crucial for high-precision dielectron based QGP temperature measurements**





# bRICH physics case: Dileptons (II)



Dileptons are produced in all stages of a heavy-ion collision

No strong interactions  $\Rightarrow$  Messengers of collision evolution

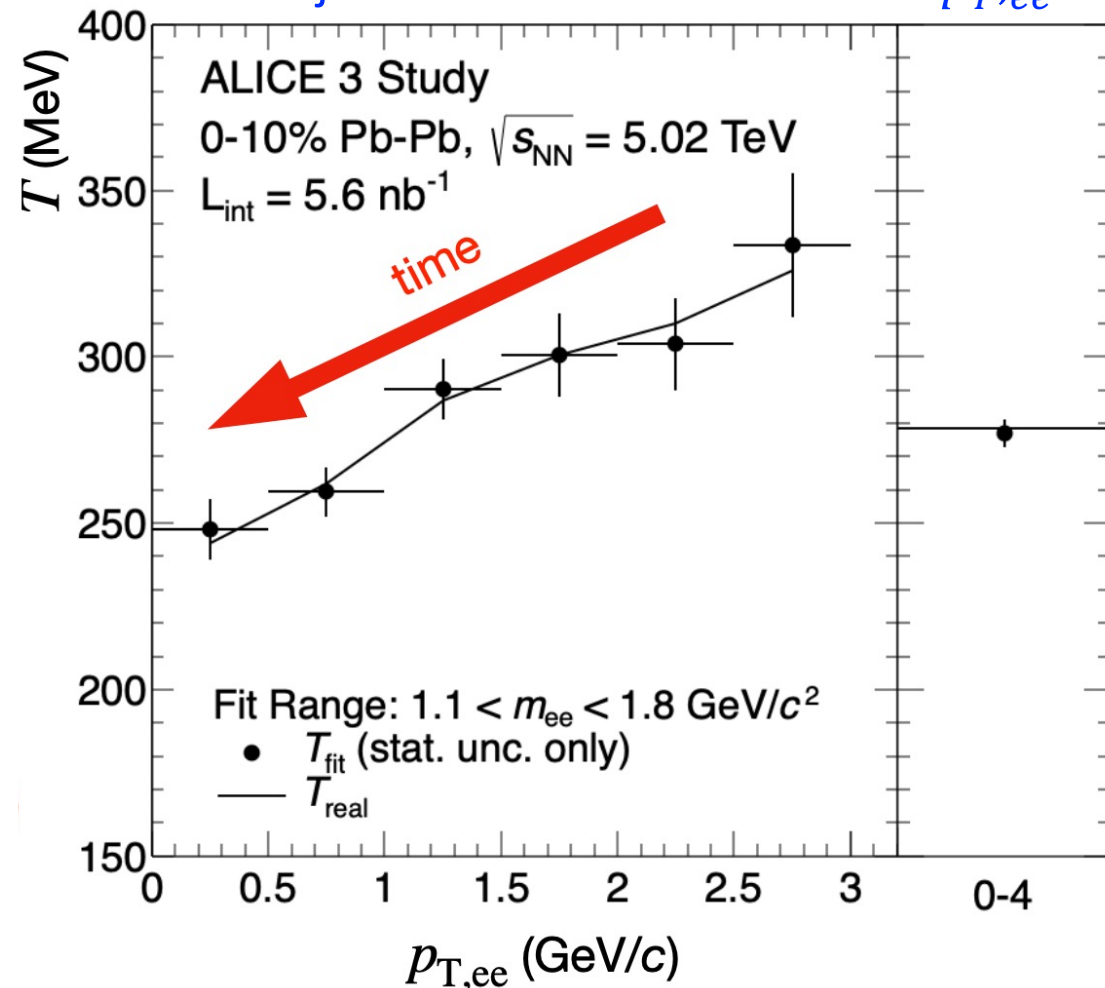
Probing time dependence of temperature using double-differential spectra of  $m_{ee}$  and  $p_{T,ee}$

### Crucial requirements

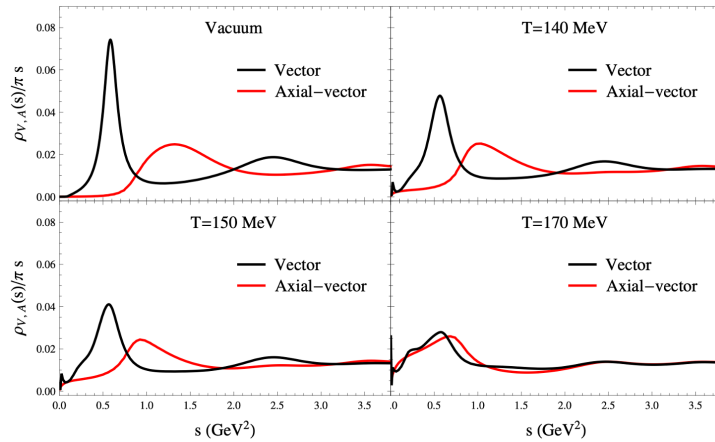
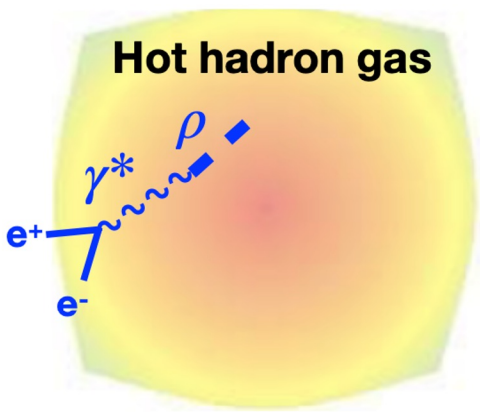
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ALICE 3 RICH crucial for high-precision dielectron based QGP temperature measurements

Projection for  $T$  as a function of  $p_{T,ee}$



# bRICH physics case: Dileptons (III)



Dileptons are produced in all stages of a heavy-ion collision  
No strong interactions  $\Rightarrow$  Messengers of collision evolution

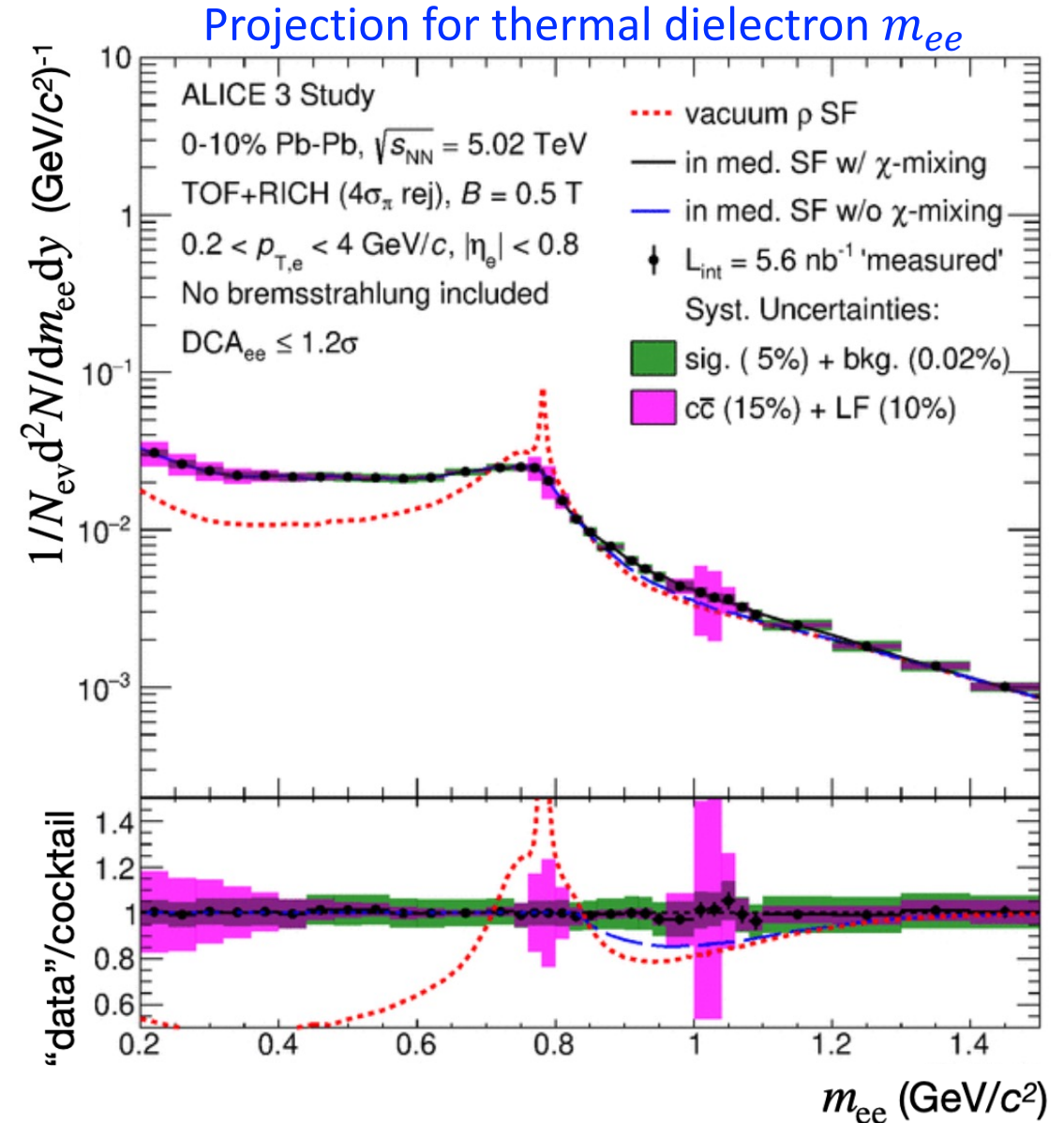
Probing chiral symmetry restoration (CSR) mechanisms  
 using thermal  $m_{ee}$  spectrum for  $m_{ee} < 1.2 \text{ GeV}/c^2$

$$\tau_\rho = 1.3 \text{ fm} < \tau_{QGP} \Rightarrow \rho \text{ meson sensitive to medium}$$



Modification of  $\rho$  spectral function related to CSR

High-precision measurements with ALICE 3 provide  
unique access to CSR mechanisms like  $\rho - a_1$  mixing



# Option: MIP timing using bRICH SiPMs

## Principle of operation

- Introduction of Cherenkov radiator coupled to SiPM layer
- Use SiPM clusters due to radiator photons for MIP timing



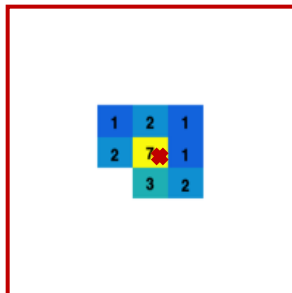
**Possibility of achieving time resolutions down to  $\approx 20$  ps with  $\approx 100$  % charged particle detection efficiency !!!**

## Radiator choice

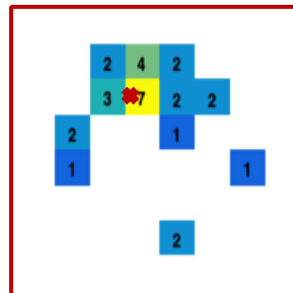
- Use high refractive index material to minimize Cherenkov thresholds and to enhance both photon yield and spread

1 mm SiO<sub>2</sub> (n=1.47) + 0.45 mm epoxy resin (n=1.55), 1x1 mm<sup>2</sup> SiPMs

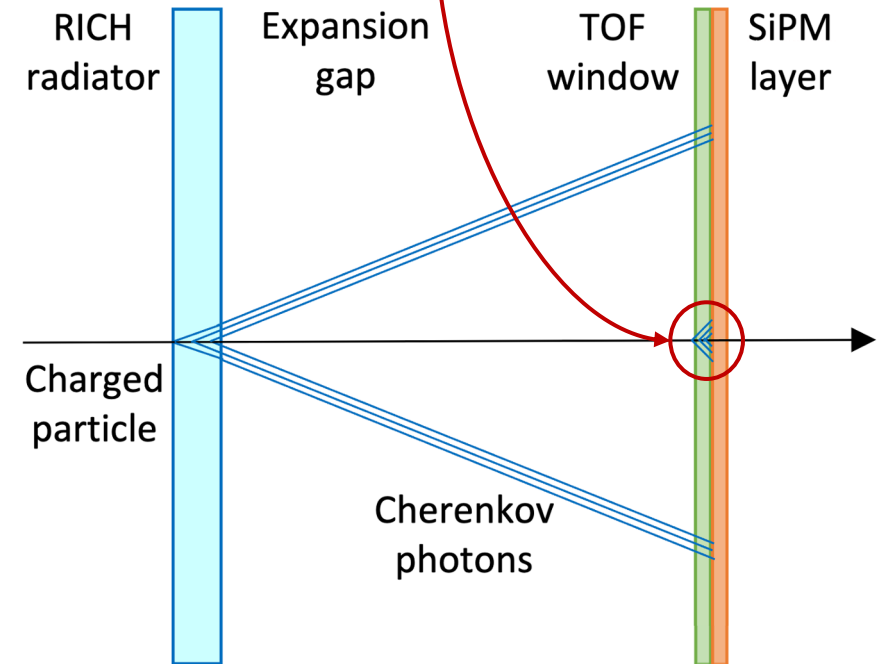
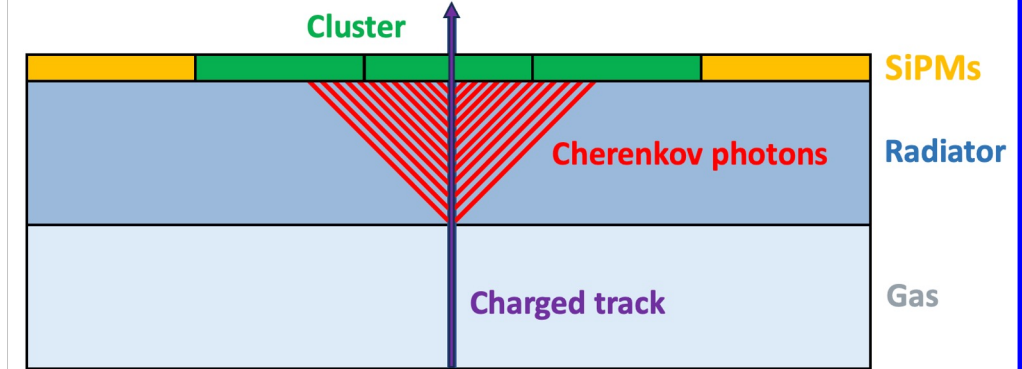
\*MIP at 0° incidence



\*MIP at 50° incidence



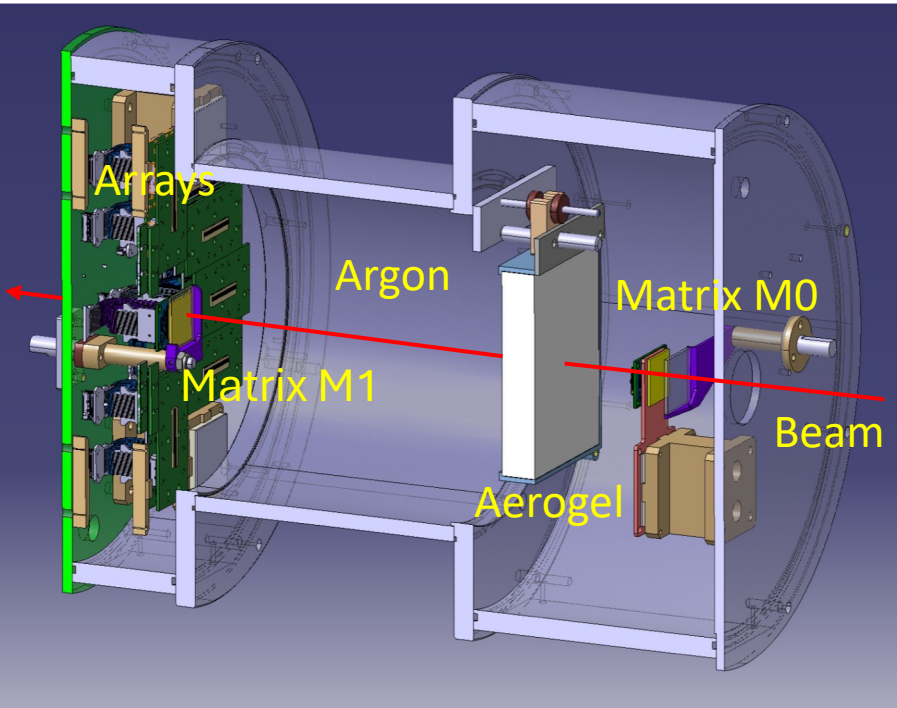
Assuming PDE of S13360-50CS SiPMs at recommended overvoltage



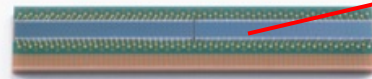
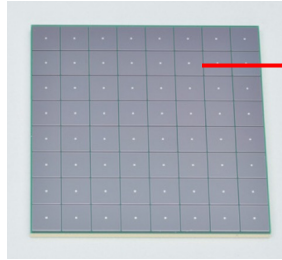


# 2022/2023 beam tests @ PS/T10

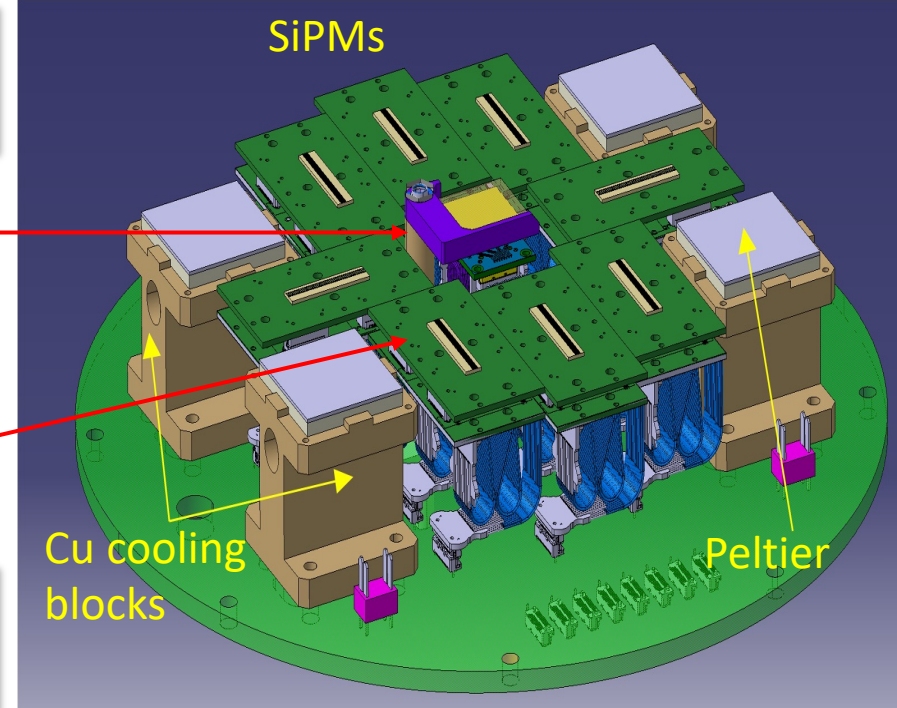
In collaboration with Mario Nicola Mazziotta, Leonarda Lorusso, Giuliana Panzarini, Roberta Pillera et al. (INFN Bari)



Card for MIPs, HPK S13361-3075AE-08  
8x8 ch. matrix of 3x3 mm<sup>2</sup> pads  
64 ch. read-out



8 cards for rings, HPK S13552  
128 ch. array of 0.23x1.625 mm<sup>2</sup> strips  
32 ch read-out x 4 ORed strips



## Angular measurements

- **Radiator:** Aerogel,  $n = 1.03$ ,  $T_r = 2$  cm
- **Gap:** Argon,  $n = 1.00028$ ,  $T_g = 23.0$  cm
- **Sensors:** 8 x HPK S13552,  $V_{ov} = 4.6$  V

## Timing measurements

- HPK S13361-3075 + 1mm quartz/MgF<sub>2</sub>
- HPK S13361-3075 + no window
- HPK S13361-1350 + 2mm quartz

## Ancillary detectors

- **Triggering:** Beam plastic scintillator
- **Tracking:** 2 X-Y fiber tracker module
  - 1 mm read-out pitch

**SiPM cooling: Water chiller + 5 Peltier devices** ⇒ Measured operation temperature in [-5°,0°]

# Angular resolution measurements

## Analysis strategy

### • Event selection

Requiring signal in a fiducial area of the fiber tracker planes (T0,T1) and the SiPM matrices (M0,M1)

### • Charged particle tracking

4-points straight line fit to extract the track position in the middle of aerogel and track director cosines

### • Single photon Cherenkov angle

Hit geometric backpropagation from all hit positions to the median plane of the aerogel tile

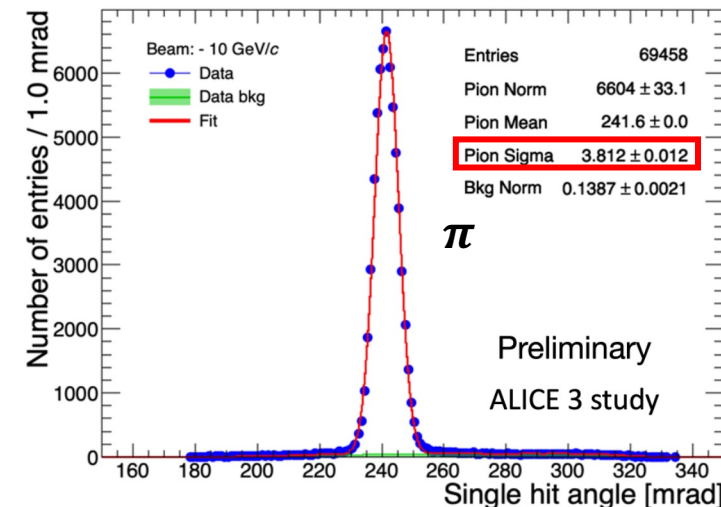
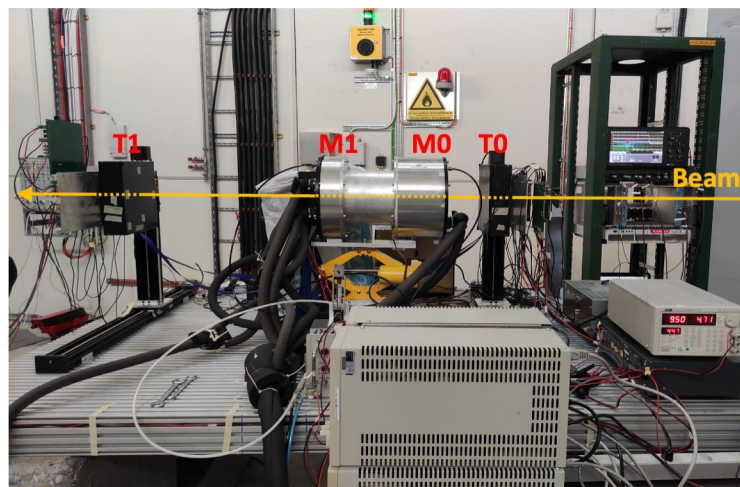
### • Time cut for DCR suppression

$$|t_{hit,array} - t_{max-q,M0}| < 5 \text{ ns}$$

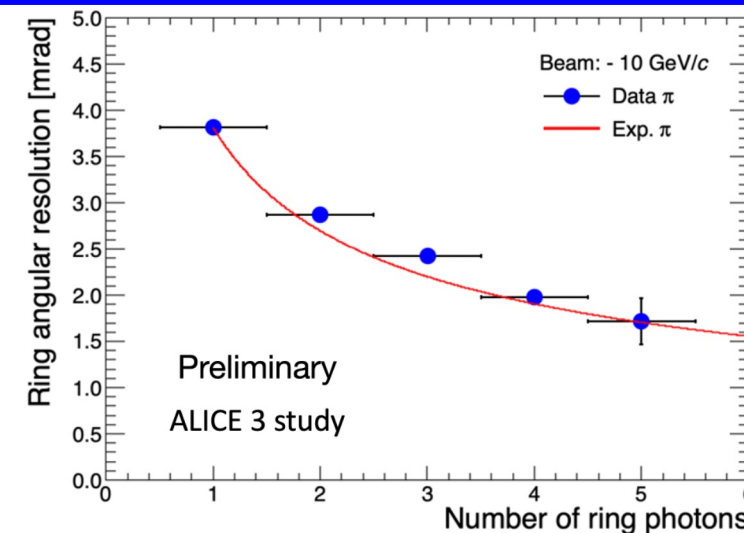
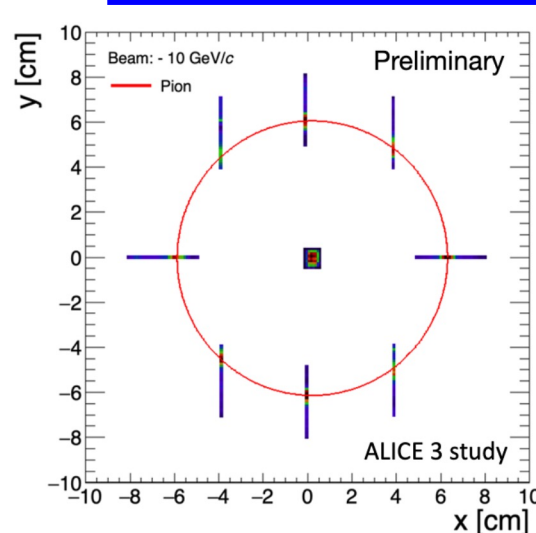
### • Fit model for angular distribution

Assuming Gaussian signals and template bkg. distribution from time-uncorrelated hits w.r.t. MIP

## We measured a single photon resolution $\sigma_{\theta} \approx 3.8 \text{ mrad}$



## Ring resolution better than 1.5 mrad for $N > 6$ photons





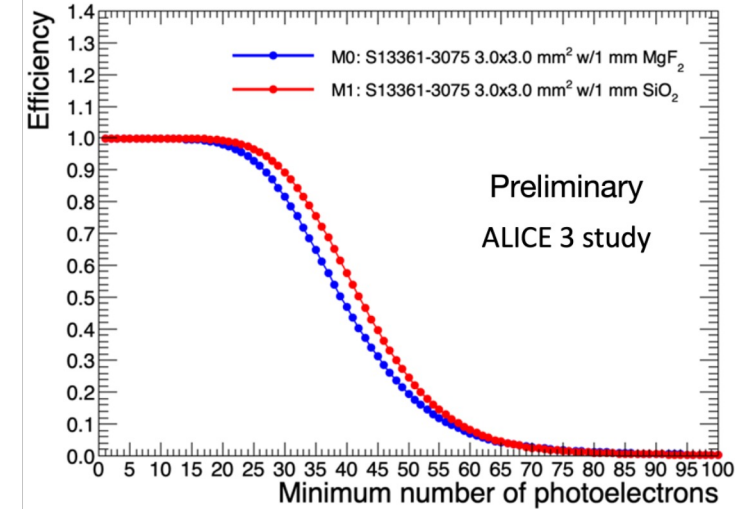
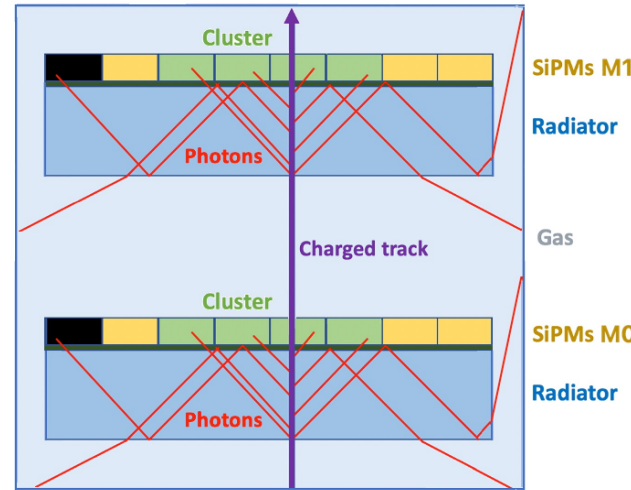
# Charged particle timing measurements

## Analysis strategy

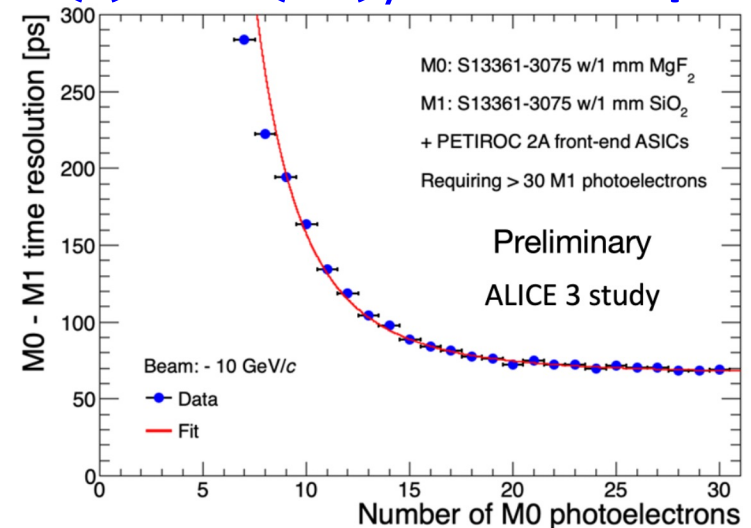
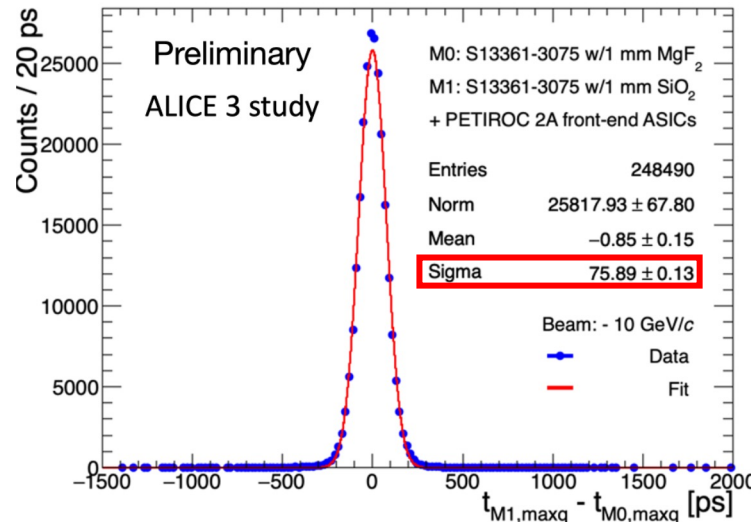
- **Event selection**  
Signal in fiducial area of tracker (T0,T1) and matrices (M0,M1)
- **Fine time calibration**  
Channel-by-channel level
- **Channel intrinsic offset correction**  
Different delays for different SiPMs: routing, cabling, etc.
- **Time walk correction**  
Intrinsic offset between signals with a different number of p.e.
- **Timing operations**  
Comparing M0 and M1 response  
Extrapolating M0 / M1 resolution

**Note:** The results on timing include both the intrinsic SiPM resolution and the electronics (jitter, TDC, etc.)

## Measured charged particle detection efficiency $\epsilon \approx 100\%$



**Resolution:  $\sigma(\Delta t) \approx 75 \text{ ps} \Rightarrow \sigma(t) \approx \sigma(\Delta t) / \sqrt{2} \approx 53 \text{ ps}$**



## Summary

- Simulation studies show that the **proposed bRICH** fulfills the ALICE 3 PID requirements, in particular in the extreme high-multiplicity environment expected in central Pb-Pb events
- Breakthrough concept of **TOF measurements** using bRICH SiPMs is currently under study and very promising results on the achievable arrival time resolution have been obtained
- **R&Ds**: Aerogel and SiPM characterization, radiation hardness, bRICH mechanics, cooling

## Outlook

- **2024-2025**: Selection of technologies, small-scale prototypes
- **2026-2027**: Large-scale prototypes, Technical Design Report

**Thank you for your attention!**

# Thank you for your attention

[Nicola.Nicassio@ba.infn.it](mailto:Nicola.Nicassio@ba.infn.it)

# Backup

# ALICE 3 motivation

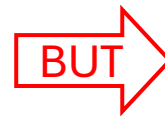


## Main experimental goal of the ALICE Collaboration

Study the microscopic dynamics of the strongly-interacting matter produced in heavy-ion collisions

### Run 3+4 will allow systematic measurements of

- Medium effects on single heavy-flavour hadrons
- Time averaged thermal QGP radiation
- Collective effects from small to large systems



### Fundamental questions will remain open

- QGP properties driving constituents to equilibrium
- Partonic EoS and its temperature dependence
- Underlying dynamics of chiral symmetry restoration
- Hadronization mechanisms of the QGP

**Substantial improvement needed in detector performance and statistics**



**Next-generation heavy-ion experiment**

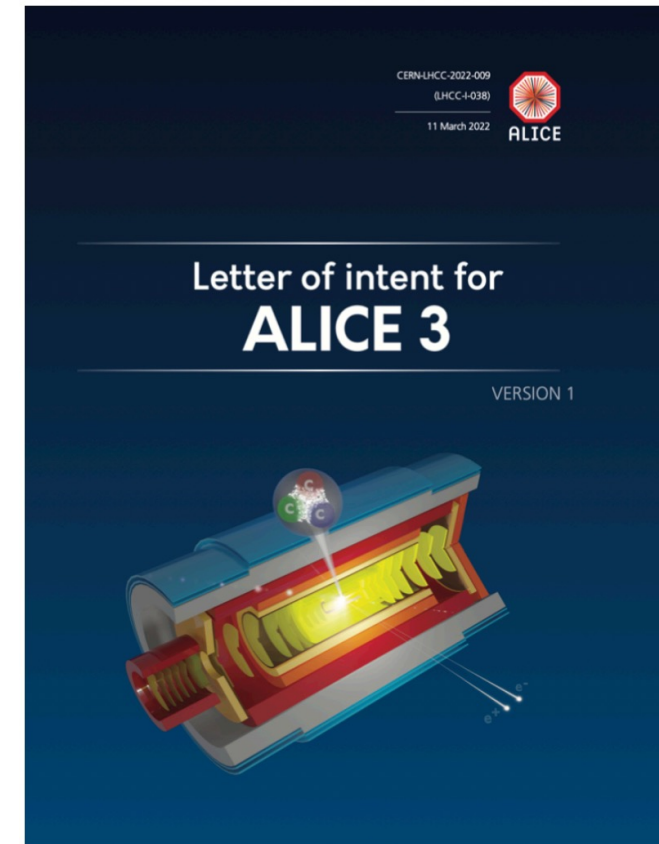


# ALICE 3 timeline



## ALICE 3 milestones

- Idea for **next-generation heavy-ion programme** for LHC Run 5 and 6 developed within ALICE in 2018/19
  - First ideas at Heavy-Ion town meeting (2018)
  - **Expression of Interest** submitted as input to the **European Strategy for Particle Physics Update** (2019)  
[arXiv:1902.01211](https://arxiv.org/abs/1902.01211)
- **Letter of Intent for ALICE 3**: Review concluded with very positive feedback by the LHCC in March 2022  
[ALICE CERN-LHCC-2022-009](https://cds.cern.ch/record/2811111/files/ALICE_CERN-LHCC-2022-009)
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# ALICE 3 physics goals

Fundamental questions for our understanding of QGP will remain open after LHC Runs 3 and 4

## Early stages: temperature, chiral symmetry restoration

- Dilepton and photon production, elliptic flow

## Heavy flavour diffusion and thermalization in the QGP

- Beauty and charm flow, charm hadron correlation

## Hadronization in heavy-ion collisions

- Multi-charm baryon production: quark recombination
- Quarkonia, exotic mesons: dissociation and regeneration

## Understanding fluctuations of conserved charges

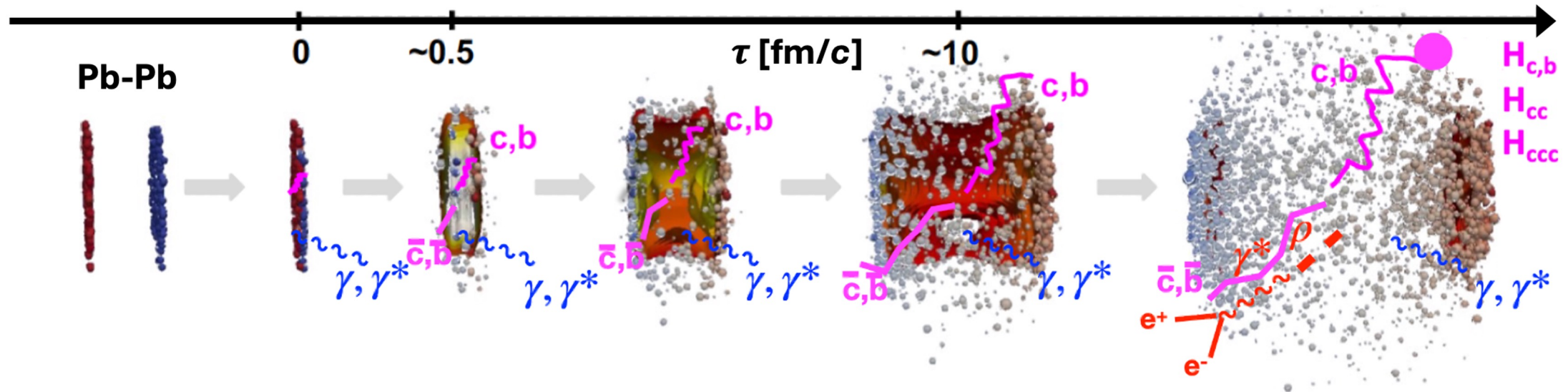
- Hadron correlation and fluctuation measurements

## Nature of exotic hadrons

- Momentum correlations, production yields and decays

## Beyond QGP physics

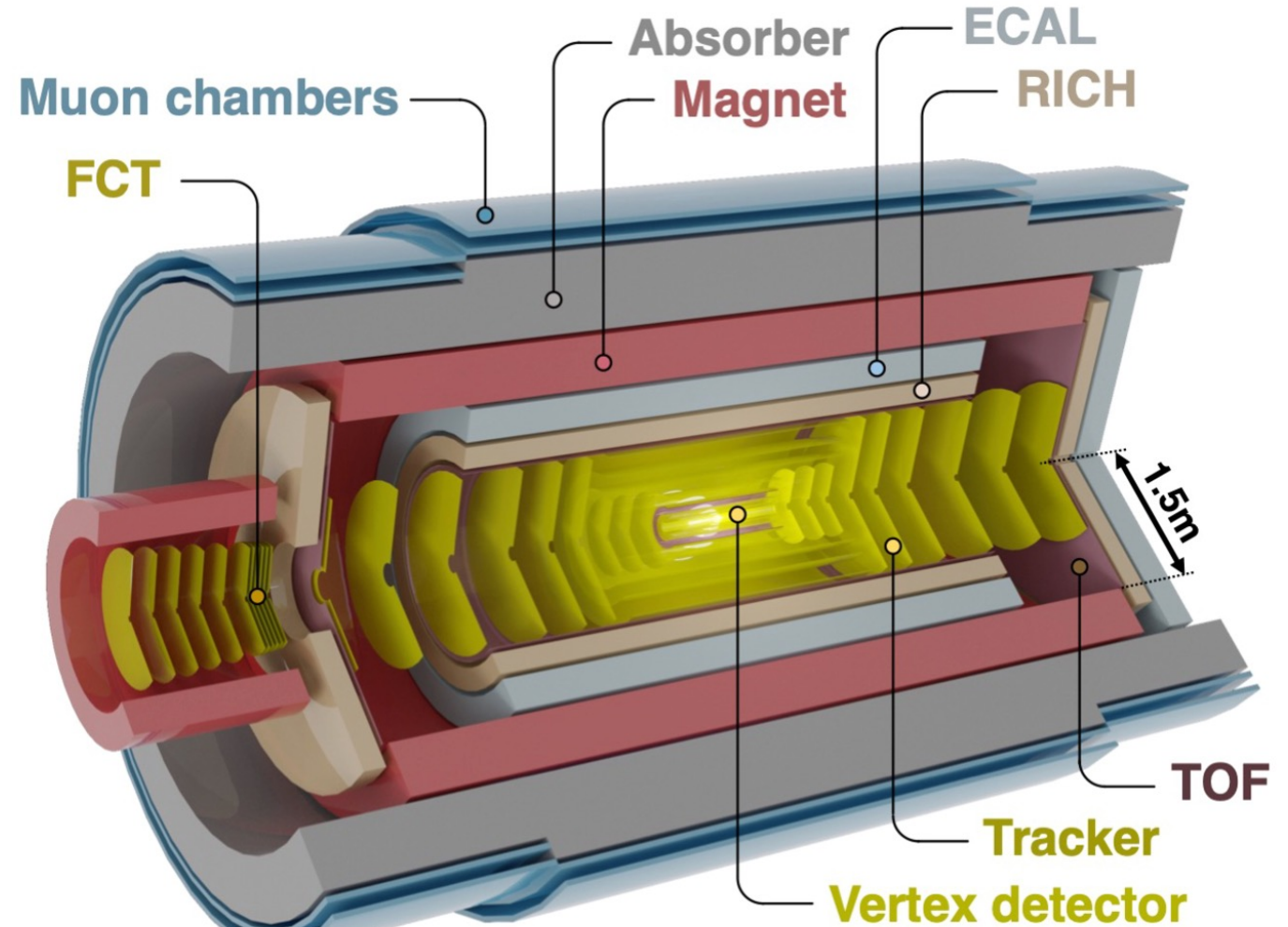
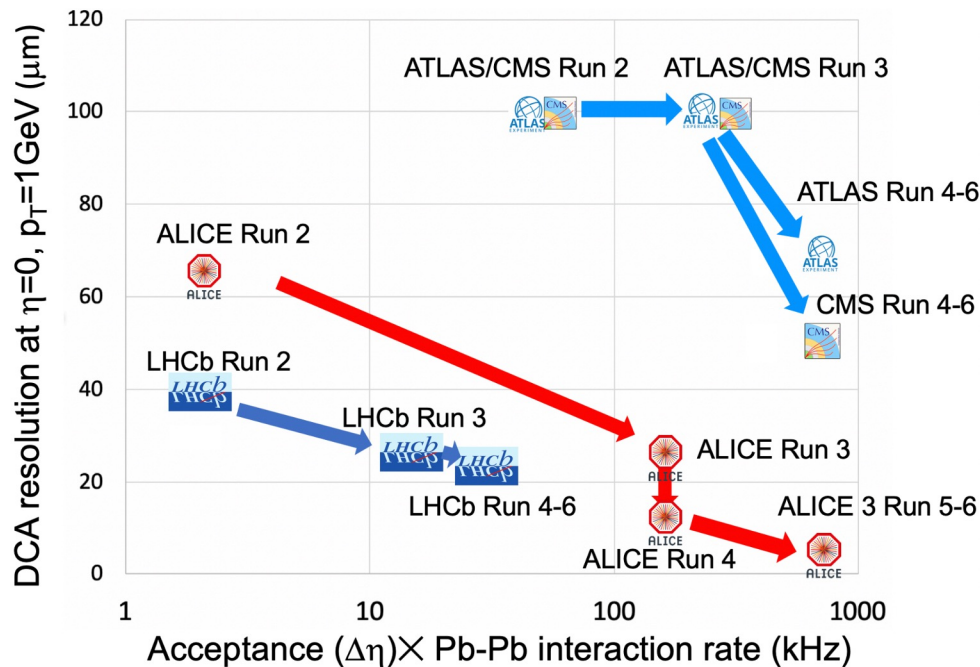
- Ultra-soft photon production: test of Low's theorem
- Search for axion-like particles in ultra-peripheral Pb-Pb
- Search for super-nuclei (c-deuteron, c-triton)



# ALICE 3 detector concept

## Key requirements

- Retractable vertex detector
- Compact and light all-silicon tracker
- Superconducting magnet system
- Extensive particle identification
- Large acceptance:  $|\eta| < 4$
- Continuous readout + online processing



# Option: MIP timing using bRICH SiPMs

## Principle of operation

- Introduction of Cherenkov radiator coupled to SiPM layer
- Use SiPM clusters due to radiator photons for MIP timing



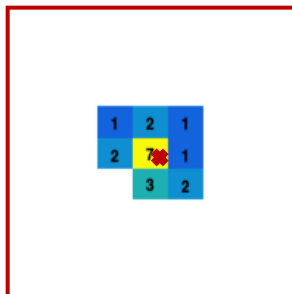
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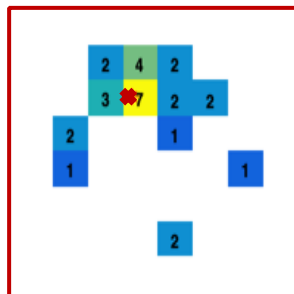
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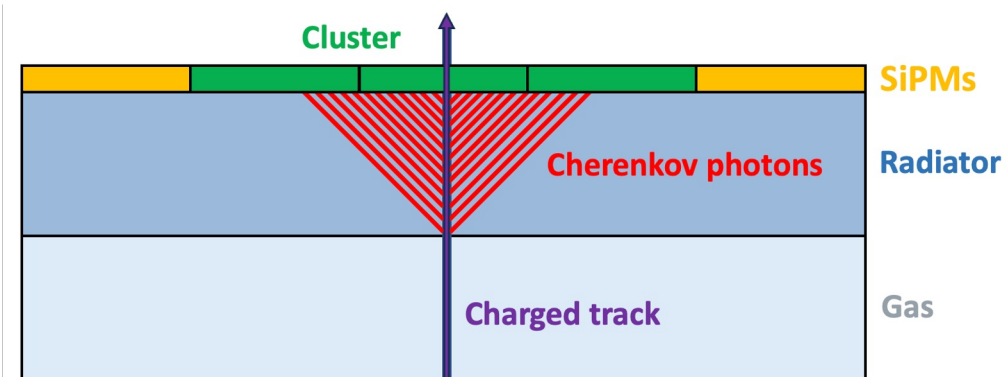
\*MIP at 0° incidence



\*MIP at 50° incidence



Assuming PDE of S13360-\*\*50CS SiPMs at recommended overvoltage



Material	Refractive index at 400 nm	$\beta_{thr.}$	$p_{thr.,\pi^\pm}$ [MeV/c]	Max $\theta_c$ [degree]	$N^*_{p.e.}$ at saturat. [mm <sup>-1</sup> ]
NaF	1.33	0.75	159	41.3	13
MgF <sub>2</sub>	1.40	0.71	142	44.3	14
SiO <sub>2</sub>	1.47	0.68	129	47.9	16
Silicone resin	1.50	0.66	124	48.2	16
Epoxy resin	1.55	0.64	117	49.8	17
High-n Corning	1.84	0.54	90	57.1	21

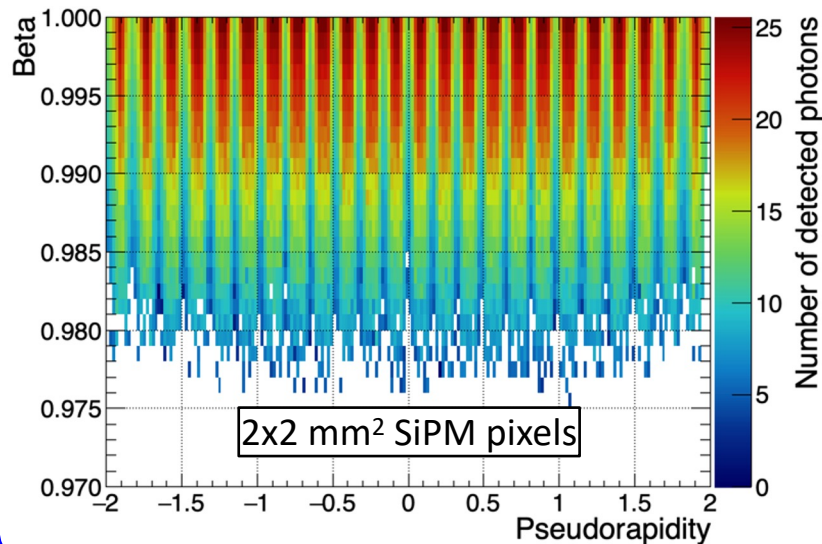


# bRICH: Performance vs $\eta$ and $\beta$



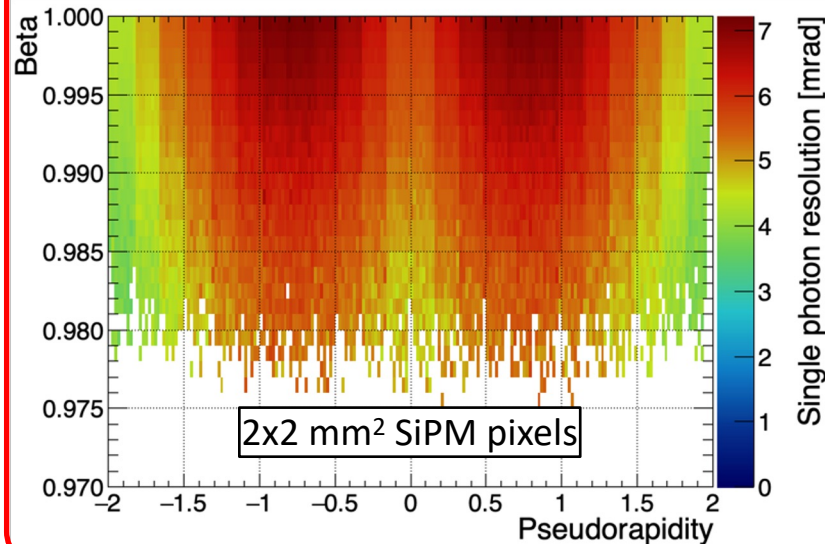
## Number of detected photons

- $N_{p.e} \propto \sin^2 \theta_c \oplus$  phot. acceptance
  - Remember:  $\cos \theta_c = 1/n\beta$
- Loss of photons at sec. Boundaries
- **Less photons from MIPs with  $\beta \ll 1$**



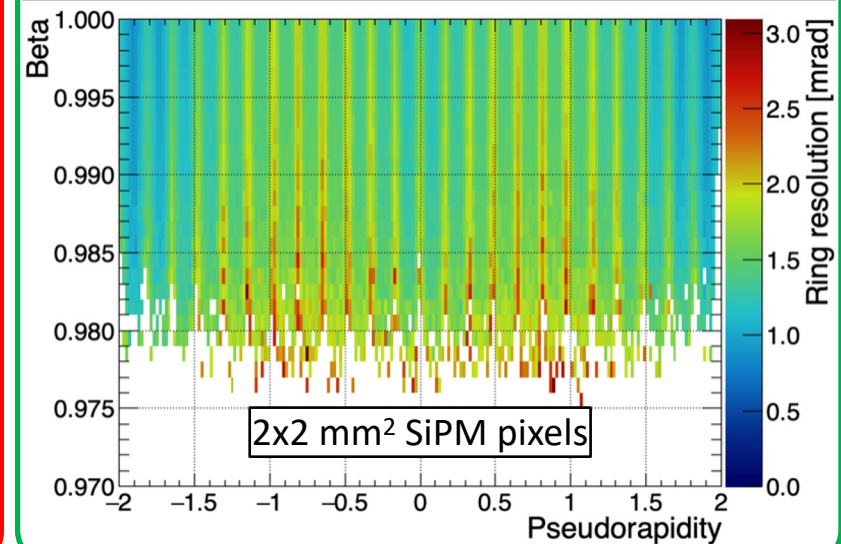
## Single photon resolution

- Expected:  $\sigma_{\theta_c}^{p.e.} = \sqrt{\sum_i \sigma_{\theta_c}^2(i)}$ 
  - $i =$  chrom, geom, pixel, tracking
- Worst  $\sigma_{\theta_c}^{p.e.}$  at  $\eta \approx 0.9$  for sectors where the gap thickness is smaller
- **Better  $\sigma_{\theta_c}^{p.e.}$  for MIPs with  $\beta \ll 1$**



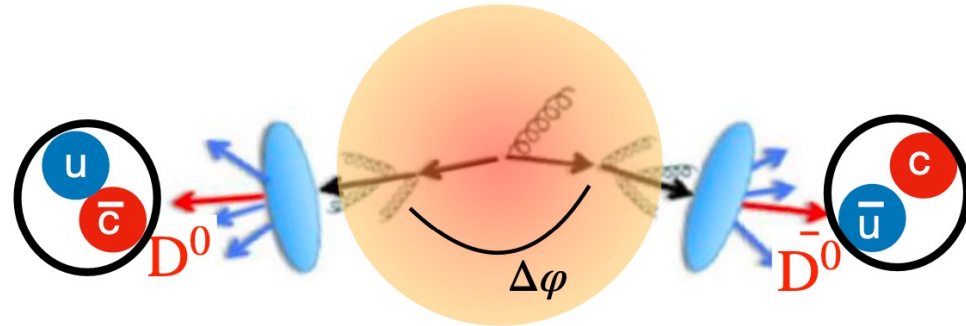
## Ring angular resolution

- Expected:  $\sigma_{\theta_c}^{ring} = \frac{\sigma_{\theta_c}^{p.e.}}{\sqrt{N_{p.e}}}$
- Excellent  $\sigma_{\theta_c}^{ring}$  vs both  $\eta$  and  $\beta$
- Minor worsening at boundaries





# Heavy-quark correlations



Angular decorrelation of heavy-flavour hadrons

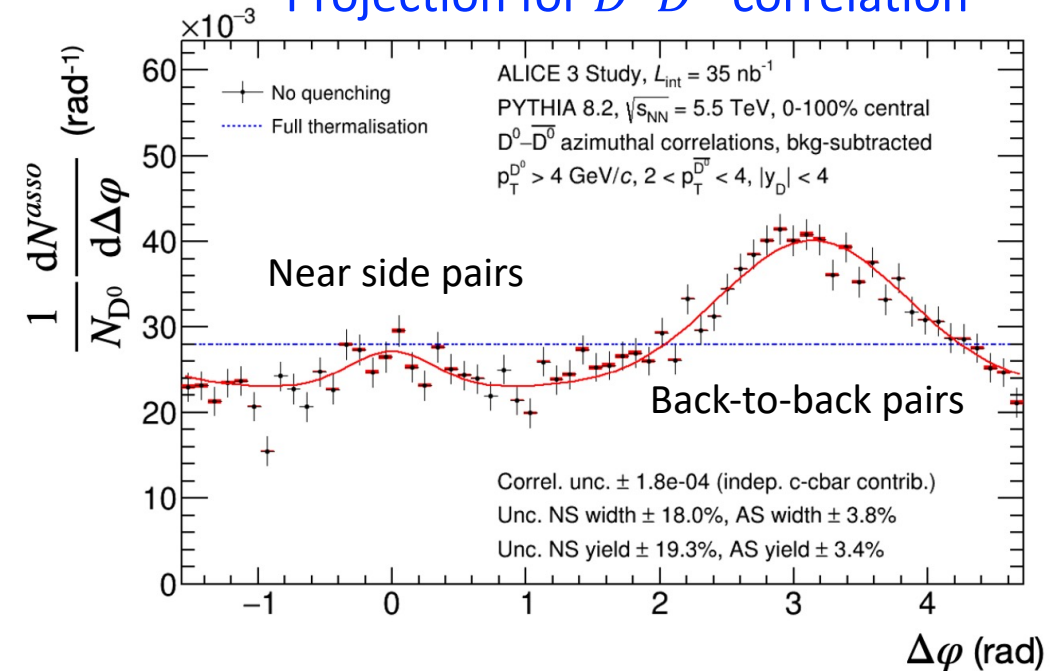


Probing QGP scattering

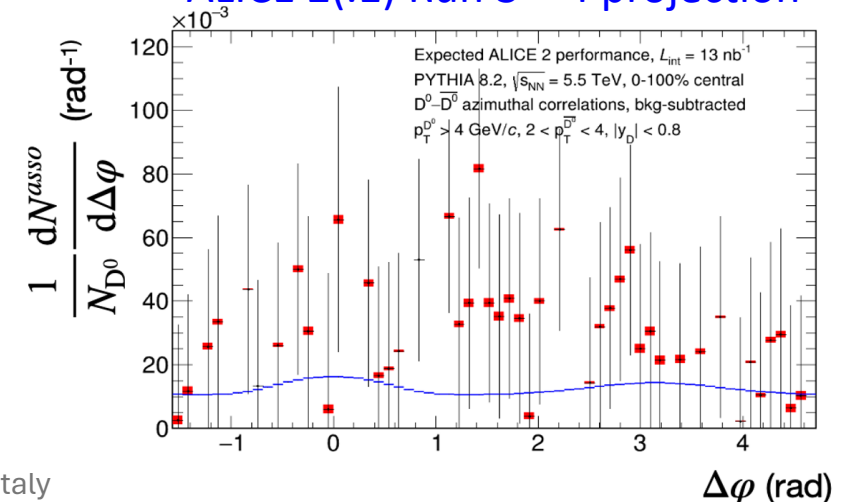
- Sensitive to energy loss and thermalization degree
- Strongest signal at low  $p_T$
- Requires high purity, efficiency and  $\eta$  coverage

**Heavy-ion measurement only possible with ALICE 3**

Projection for  $D^0\bar{D}^0$  correlation

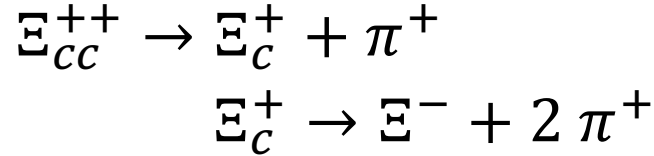


ALICE 2(.1) Run 3 + 4 projection



# Multi-charm baryon reconstruction

Multi-charm baryons: powerful probe of hadron formation



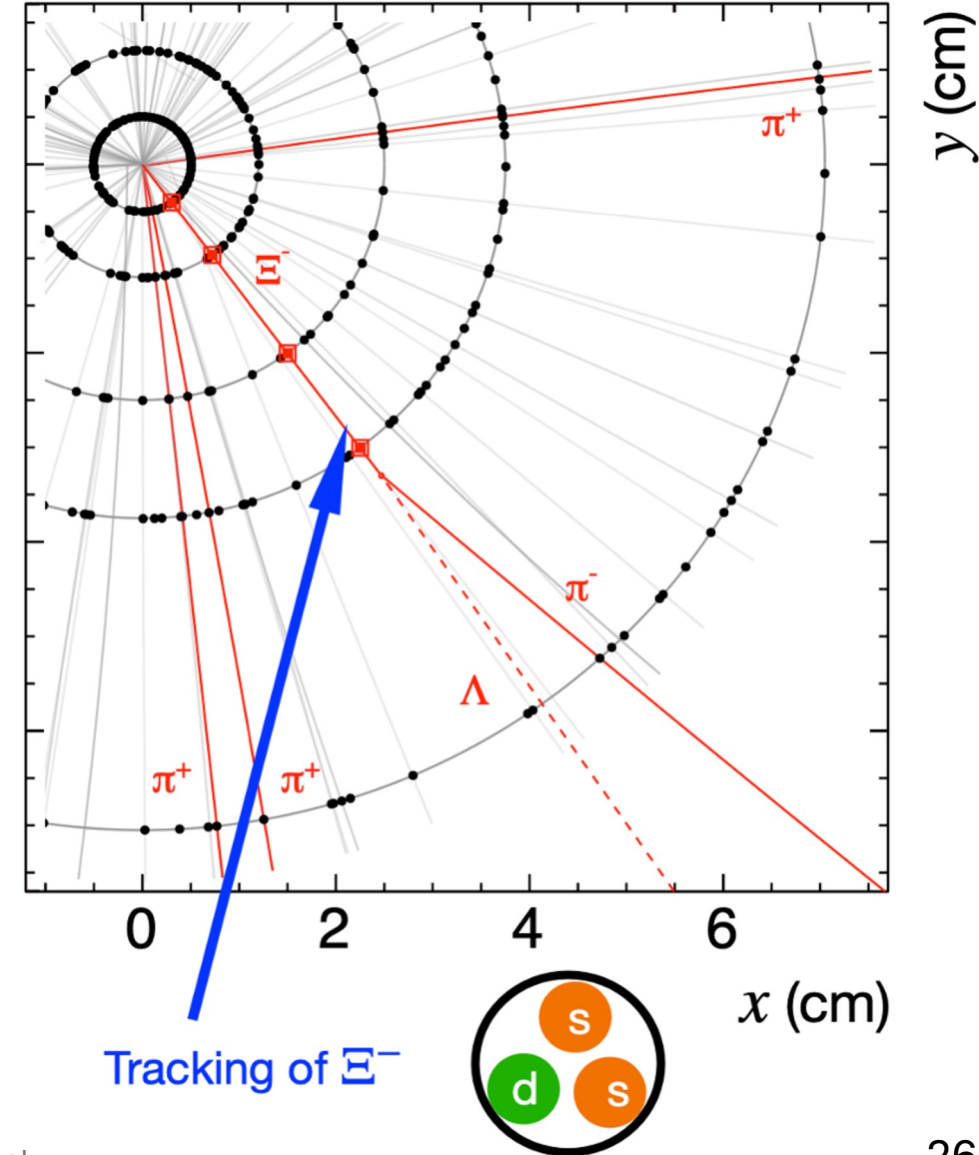
First ALICE 3 tracking layer at 5 mm



Track strange baryon ( $\Xi^-$ ) before it decays  
High selectivity thanks to pointing resolution

**Heavy-ion measurement only possible with ALICE 3**

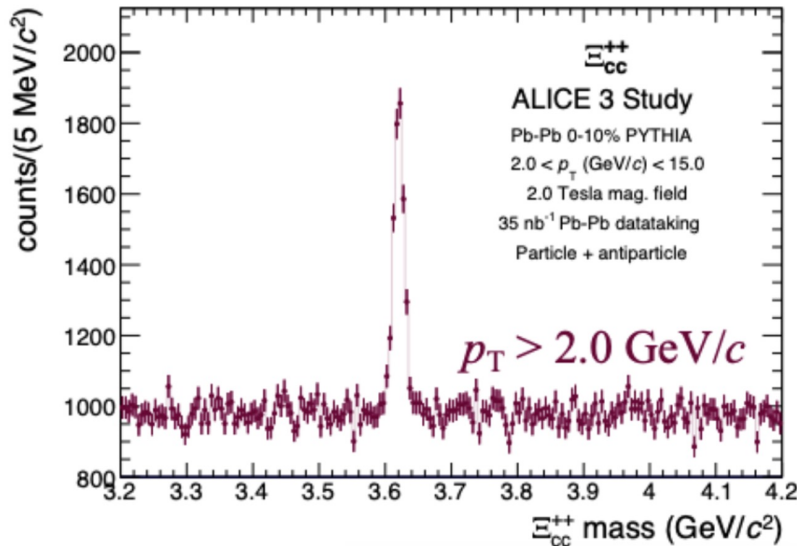
Strangeness tracking in  $\Xi^{++}$  decay



Tracking of  $\Xi^-$



Mass peak for  $\Xi_{cc}^{++}$  in Pb-Pb



Significance for  $\Xi_{cc}^{++}$ ,  $\Omega_{cc}^{++}$  in Pb-Pb

