

# The sensitivity of long-baseline accelerator neutrino experiments to the unknown oscillation parameters

Anna Stepanova

Joint Institute for Nuclear Research (JINR),  
Dubna, Russia

INTERNATIONAL SCHOOL OF SUBNUCLEAR PHYSICS,  
60th Course: NEWS FROM THE FOUR INTERACTIONS, Erice

14 – 23 June 2024

# Neutrino oscillations in matter

## Neutrino mixing:

$$\nu_\alpha = \sum_{i=1}^3 U_{\text{PMNS},\alpha,i}^* \cdot \nu_i,$$

$\alpha = e, \mu, \tau$

- $\nu_\alpha$  – flavor eigenstate
- $\nu_i$  – mass eigenstate

## Mixing matrix:

$$U_{\text{PMNS}} \sim \theta_{12}, \theta_{13}, \theta_{23}, \delta_{\text{CP}}$$

# Neutrino oscillations in matter

## Neutrino mixing:

$$\nu_\alpha = \sum_{i=1}^3 U_{\text{PMNS},\alpha,i}^* \cdot \nu_i,$$

$\alpha = e, \mu, \tau$

- $\nu_\alpha$  – flavor eigenstate
- $\nu_i$  – mass eigenstate

## Mixing matrix:

$$U_{\text{PMNS}} \sim \theta_{12}, \theta_{13}, \theta_{23}, \delta_{\text{CP}}$$

## The oscillation probability depends on:

- parameters of the  $U_{\text{PMNS}}$  matrix
- mass squared splittings:  $\Delta m_{21}^2$ ,  
 $\Delta m_{32}^2 / \Delta m_{31}^2$  (NO/IO)
- the neutrino mass ordering: sign  $\Delta m_{32}^2$
- the matter density  $\rho$
- a ratio of a baseline and neutrino energy  $\frac{L}{E}$

# Neutrino oscillations in matter

## Neutrino mixing:

$$\nu_\alpha = \sum_{\substack{i=1 \\ \alpha = e, \mu, \tau}}^3 U_{\text{PMNS}, \alpha, i}^* \cdot \nu_i,$$

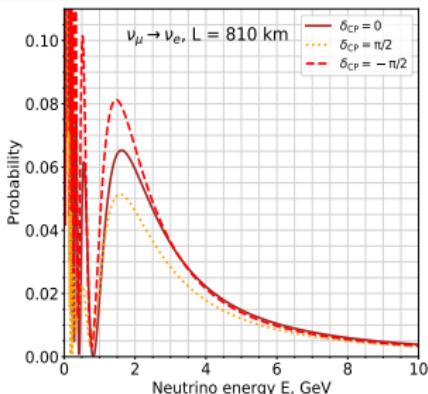
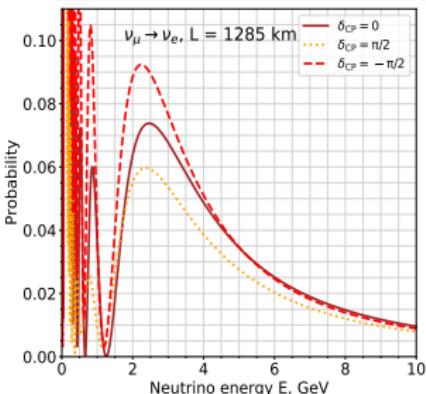
- $\nu_\alpha$  – flavor eigenstate
- $\nu_i$  – mass eigenstate

## Mixing matrix:

$$U_{\text{PMNS}} \sim \theta_{12}, \theta_{13}, \theta_{23}, \delta_{CP}$$

## The oscillation probability depends on:

- parameters of the  $U_{\text{PMNS}}$  matrix
- mass squared splittings:  $\Delta m_{21}^2$ ,  
 $\Delta m_{32}^2 / \Delta m_{31}^2$  (NO/IO)
- the neutrino mass ordering: sign  $\Delta m_{32}^2$
- the matter density  $\rho$
- a ratio of a baseline and neutrino energy  $\frac{L}{E}$



# Neutrino oscillations in matter

## Neutrino mixing:

$$\nu_\alpha = \sum_{i=1}^3 U_{\text{PMNS},\alpha,i}^* \cdot \nu_i,$$

$\alpha = e, \mu, \tau$

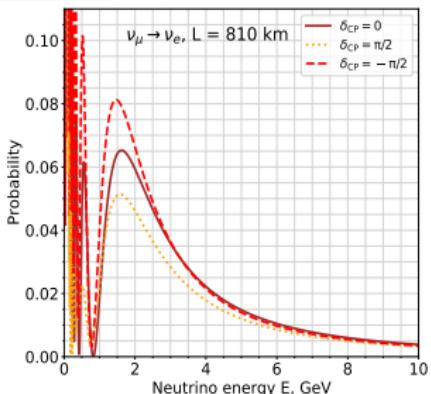
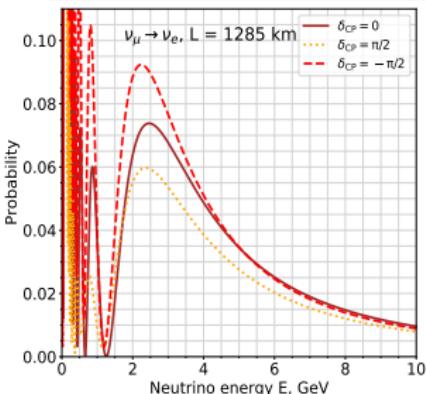
- $\nu_\alpha$  – flavor eigenstate
- $\nu_i$  – mass eigenstate

## Mixing matrix:

$$U_{\text{PMNS}} \sim \theta_{12}, \theta_{13}, \theta_{23}, \delta_{CP}$$

## The oscillation probability depends on:

- parameters of the  $U_{\text{PMNS}}$  matrix
- mass squared splittings:  $\Delta m_{21}^2$ ,  
 $\Delta m_{32}^2 / \Delta m_{31}^2$  (NO/IO)
- the neutrino mass ordering: sign  $\Delta m_{32}^2$
- the matter density  $\rho$
- a ratio of a baseline and neutrino energy  $\frac{L}{E}$

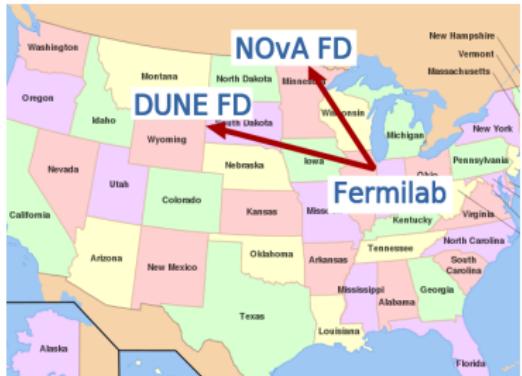


## Neutrino sources

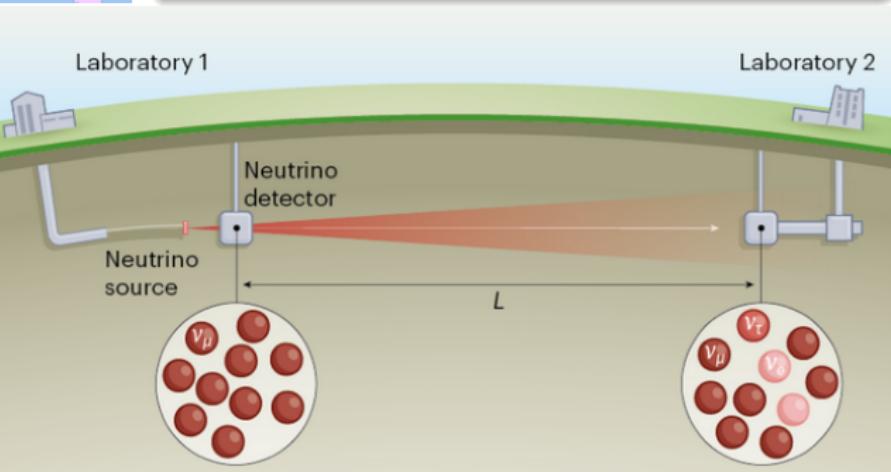
(for oscillation study):

- atmospheric
- **accelerator**
- reactor
- solar

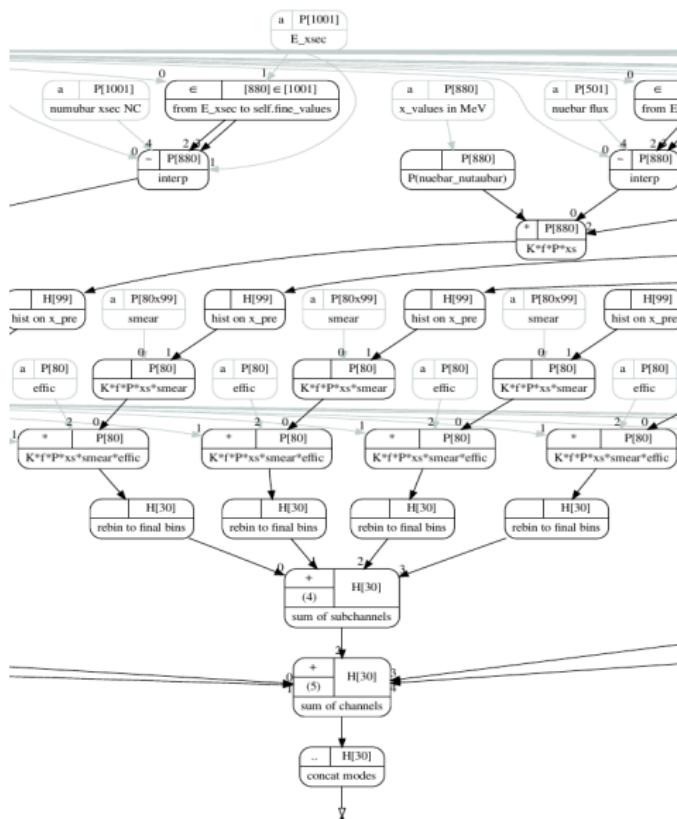
# Long-baseline accelerator neutrino experiments (LBL)



Exp.	T2K	NOvA	DUNE
<b>start</b>	2010	2014	$\approx 2030$
<b>p source</b>	J-PARC	Fermilab	
<b>FD</b>	WC	liq. scint.	LArTPC
<b>L, km</b>	295	810	1285
<b>M<sub>fid</sub><sub>FD</sub>, kt</b>	22.5	14	40
<b>E, GeV</b>	0 – 3	0.5 – 5	0.5 – 8



# Modelling within GNA (developed in JINR)



## Tasks:

- to create experiment's models: T2K, NOvA, DUNE;
- to calculate their sensitivities to the unknown oscillation parameters;
- to estimate joint sensitivities.

**Global Neutrino Analysis – a software for carrying out a data analysis of neutrino events. It has:**

- \* transformation-functions for calculations based on C++, ROOT CERN и Python;
- \* blocks composed in a graph;
- \* functions for a statistical data analysis.

## The configuration file:

- flux, xsec, efficiencies;
- the difference between  $E_{\text{true}}$  and  $E_{\text{recon.}}$ ;
- modes with channels;
- energy scale;
- oscillation parameters;
- parameters of exp.

```
MODES:  
fhc_app_nue:  
  Signal: nue  
  FhcRhc: fhc  
  AppDis: app  
  CH:  
    bkg_beam:  
      - channel_type: beam  
        initial_flavor: nue  
        final_flavor: nue  
        xsec_type: CC
```

# A unified shell for LBL experiments in GNA

## The configuration file:

- flux, xsec, efficiencies;
- the difference between  $E_{\text{true}}$  and  $E_{\text{recon.}}$ ;
- modes with channels;
- energy scale;
- oscillation parameters;
- parameters of exp.

The configuration file is an input of the unified shell,  
then it is possible to calculate:

- $N$  event rates in channels and modes;
- $\chi^2$  values using calculated  $N$  and data;
- an individual sensitivity of each experiment;
- a joint sensitivity of all experiments.

```
MODES:  
fhc_app_nue:  
  Signal: nue  
  FhcRhc: fhc  
  AppDis: app  
  CH:  
    bkg_beam:  
      - channel_type: beam  
        initial_flavor: nue  
        final_flavor: nue  
        xsec_type: CC
```

# A unified shell for LBL experiments in GNA

## The configuration file:

- flux, xsec, efficiencies;
- the difference between  $E_{\text{true}}$  and  $E_{\text{recon.}}$ ;
- modes with channels;
- energy scale;
- oscillation parameters;
- parameters of exp.

```
MODES:  
fhc_app_nue:  
Signal: nue  
FhcRhc: fhc  
AppDis: app  
CH:  
bkg_beam:  
- channel_type: beam  
initial_flavor: nue  
final_flavor: nue  
xsec_type: CC
```

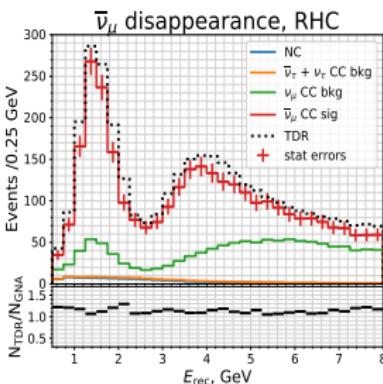
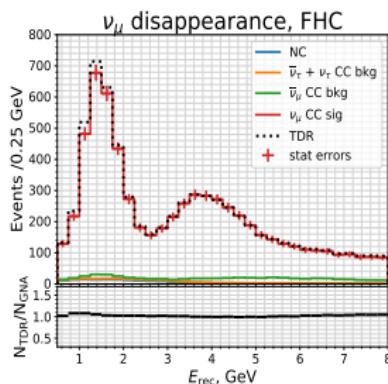
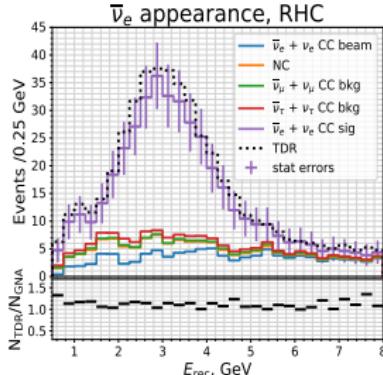
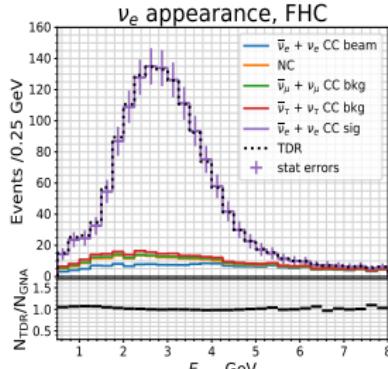
The configuration file is an input of the unified shell,  
then it is possible to calculate:

- $\mathbf{N}$  event rates in channels and modes;
- $\chi^2$  values using calculated  $\mathbf{N}$  and data;
- an individual sensitivity of each experiment;
- a joint sensitivity of all experiments.

$$\mathbf{N}_j^m = \sum_{i=0}^D N_{j,m}^i, \quad N_j^i = K \cdot f(E_{\text{true}})_j \cdot P(E_{\text{true}})(\nu_\alpha \rightarrow \nu_\beta)_j \cdot \sigma(E_{\text{true}})_j \cdot \sum_{k=0}^n R(E_{\text{true}}, E_{\text{rec.}})_{jk} \cdot \varepsilon(E_{\text{rec.}})_k \cdot \Delta E_{\text{rec.}, j}$$

$$\chi^2 == -2 \sum_{m=0}^M \sum_{j=0}^B (N_{j,m}^{\text{data}} \ln N_{j,m}^{\text{mod.}} - N_{j,m}^{\text{mod.}} - N_{j,m}^{\text{data}} \ln N_{j,m}^{\text{data}} + N_{j,m}^{\text{data}}) + \frac{(x - \mu)^2}{\sigma^2}$$

# DUNE FD energy spectra (event rates vs $E_{\text{recon.}}$ ) in the GNA

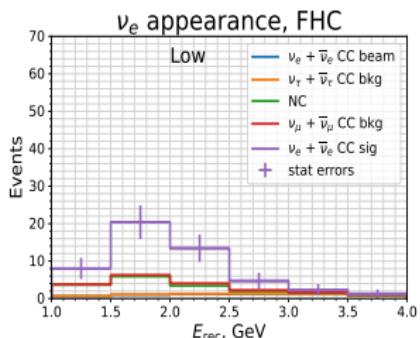
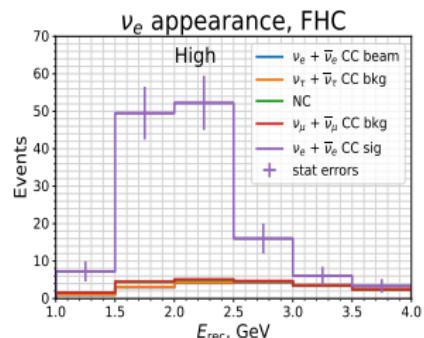


- FHC (forward horn current) / RHC (reverse horn current), equal running time
- 7 years according to the staged plan:

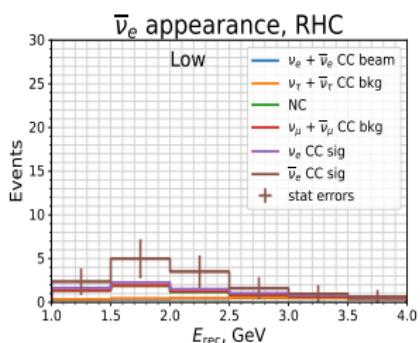
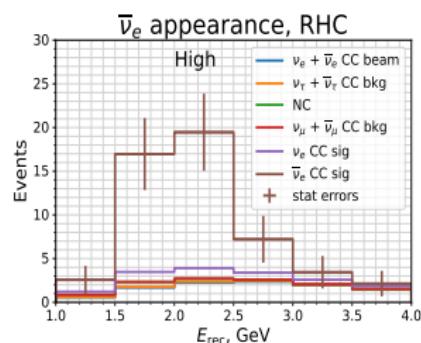
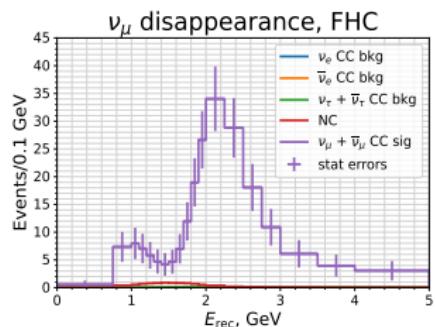
plan	kt	MWt
1 year	20	1.2
2 years	30	1.2
3 years	30	1.2
4 years	40	1.2
7 years	40	2.4
10 years	40	2.4

- 4 modes:
  - $\nu_e/\bar{\nu}_e$  appearance
  - $\nu_\mu/\bar{\nu}_\mu$  disappearance
- MC data from:  
TDR DUNE

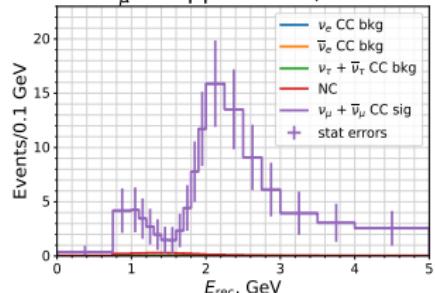
# NOvA FD energy spectra (event rates vs $E_{\text{recon.}}$ ) in the GNA



High / Low PID

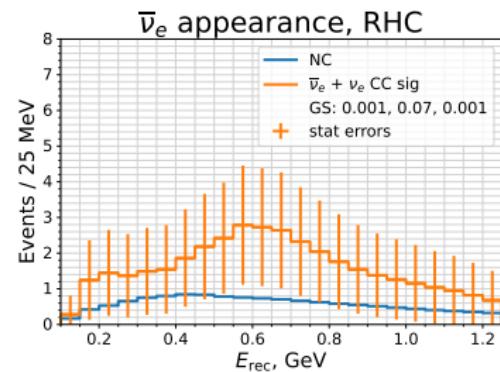
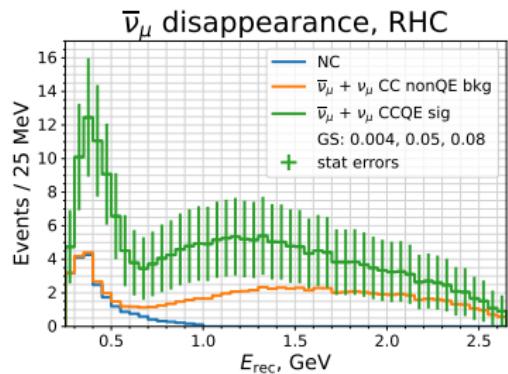
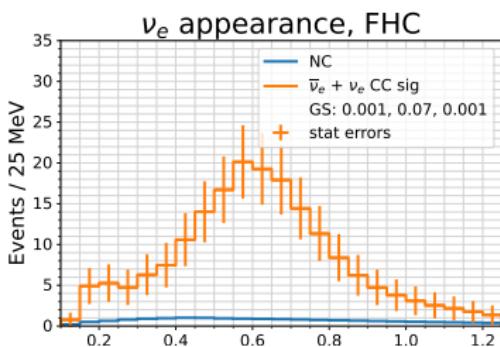
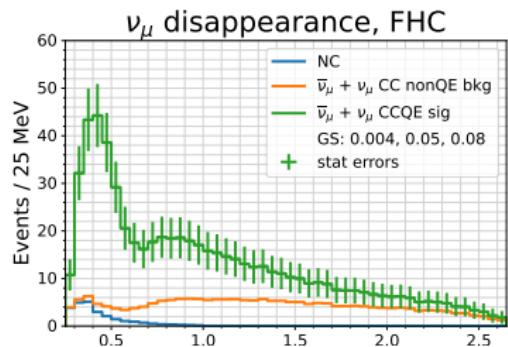


$\bar{\nu}_\mu$  disappearance, RHC



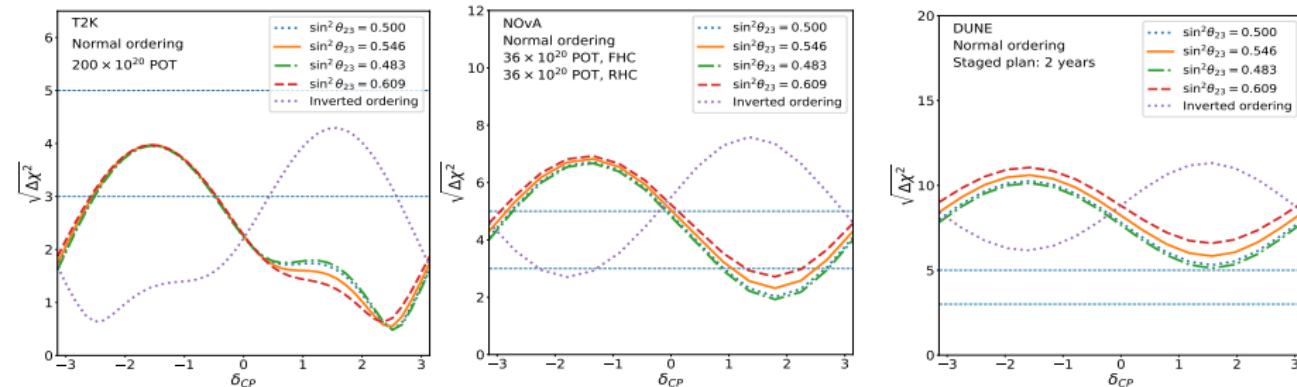
$36 \times 10^{20}$  POT (protons on target) in each regime

# T2K FD energy spectra (event rates vs $E_{recon.}$ ) in the GNA



$200 \times 10^{20}$  POT (FHC+RHC):  $\nu_\mu/\bar{\nu}_\mu$  disappearance /  $\nu_e/\bar{\nu}_e$  appearance

# T2K, NOvA, DUNE sensitivities to the neutrino mass ordering

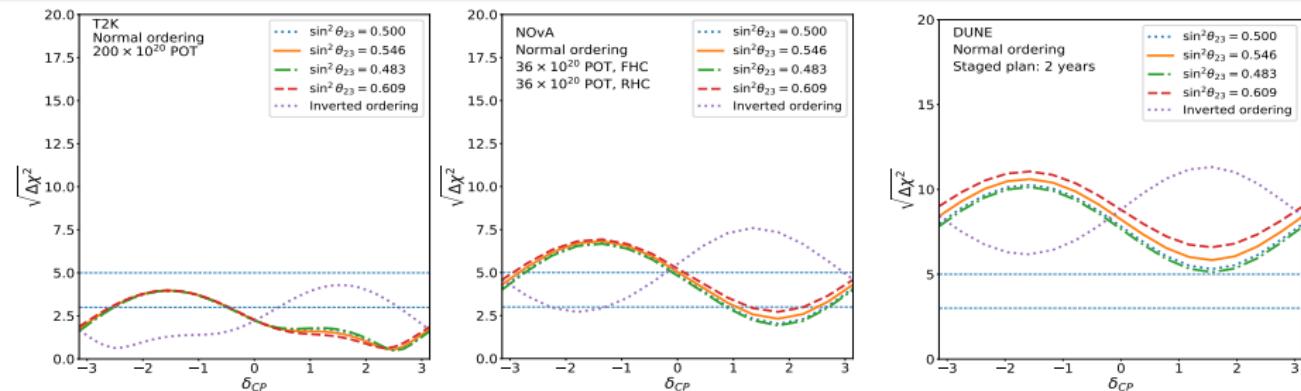


$$\sqrt{\Delta\chi^2} = \sqrt{\chi_{\text{IO}}^2 - \chi_{\text{NO}}^2} \quad (\text{NO}) \quad \text{or} \quad \sqrt{\Delta\chi^2} = \sqrt{\chi_{\text{NO}}^2 - \chi_{\text{IO}}^2} \quad (\text{IO})$$

- different  $\sin^2 \theta_{23}$  values around the best fit from NuFIT 4.0;
- both orderings;
- $\delta_{CP}$  in the whole  $[-\pi, \pi]$  range.

- DUNE vs (NOvA, T2K): DUNE will be able to resolve the neutrino mass ordering problem at  $5\sigma$  significant level in 2 years.
- NOvA vs T2K: due to the longer baseline (810 km vs 295 km) NOvA is more sensitive to the neutrino mass ordering than T2K.

# T2K, NOvA, DUNE sensitivities to the neutrino mass ordering

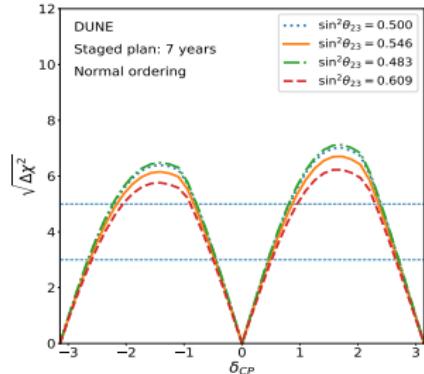
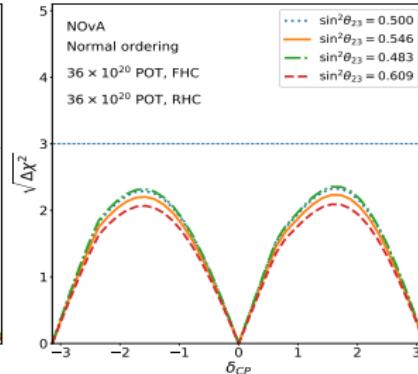
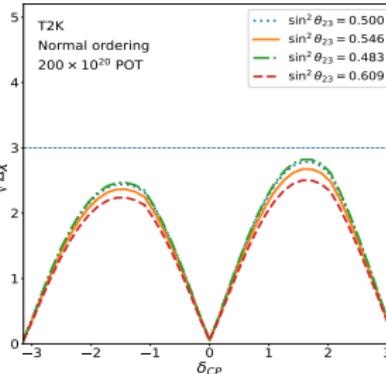


$$\sqrt{\Delta\chi^2} = \sqrt{\chi_{\text{IO}}^2 - \chi_{\text{NO}}^2} \quad (\text{NO}) \quad \text{or} \quad \sqrt{\Delta\chi^2} = \sqrt{\chi_{\text{NO}}^2 - \chi_{\text{IO}}^2} \quad (\text{IO})$$

- different  $\sin^2\theta_{23}$  values around the best fit one from NuFIT 4.0;
- both orderings;
- $\delta_{CP}$  in the whole  $[-\pi, \pi]$  range.

- DUNE vs (NOvA, T2K): DUNE will be able to resolve the neutrino mass ordering problem at  $5\sigma$  significant level in 2 years.
- NOvA vs T2K: due to the longer baseline (810 km vs 295 km) NOvA is more sensitive to the neutrino mass ordering than T2K.

# T2K, NOvA, DUNE sensitivities to the $\delta_{CP}$ phase

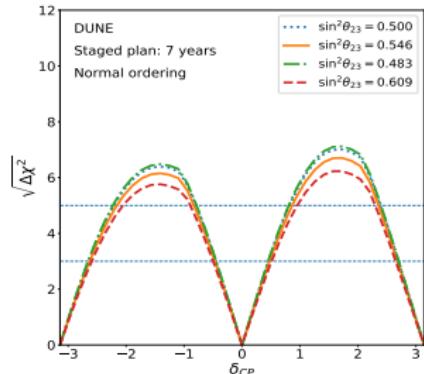
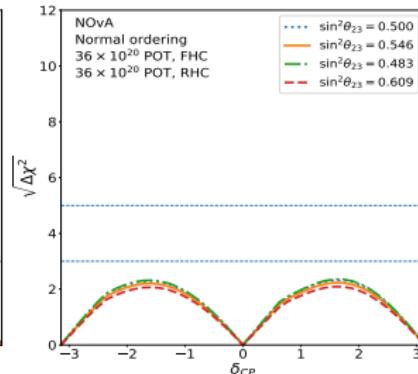
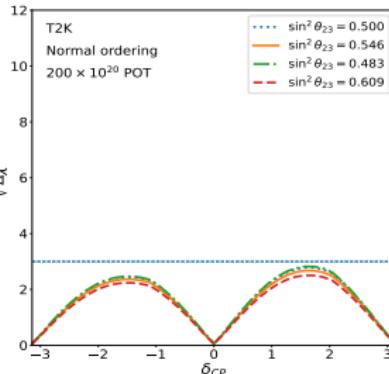


$$\sqrt{\Delta\chi^2} = \sqrt{\min \chi^2_{\delta_{CP}=0,\pm\pi} - \chi^2_{\delta_{CP}}} \text{ (NO)} \quad \Rightarrow \quad \sqrt{\Delta\chi^2} = 0 \text{ b } \delta_{CP} = 0, \pm\pi$$

- different  $\sin^2 \theta_{23}$  values around the best fit from NuFIT 4.0
- both orderings
- $\delta_{CP}$  in the whole  $[-\pi, \pi]$  range

- DUNE vs (NOvA, T2K): DUNE sensitivity will be higher than  $3\sigma$  level for some values of  $\delta_{CP}$  in 7 years
- NOvA vs T2K: due to the shorter baseline T2K is more sensitive to the  $\delta_{CP}$  phase than NOvA

# T2K, NOvA, DUNE sensitivities to the $\delta_{CP}$ phase

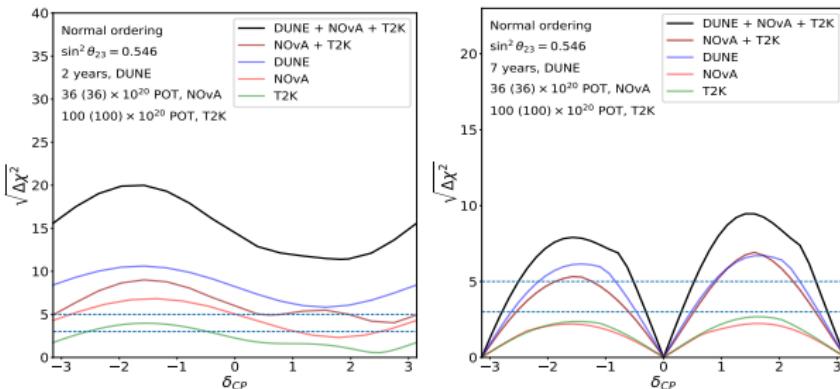


$$\sqrt{\Delta\chi^2} = \sqrt{\min \chi^2_{\delta_{CP}=0,\pm\pi} - \chi^2_{\delta_{CP}}} \text{ (NO)} \quad \Rightarrow \quad \sqrt{\Delta\chi^2} = 0 \text{ b } \delta_{CP} = 0, \pm\pi$$

- different  $\sin^2 \theta_{23}$  values around the best fit one NuFIT 4.0
- both orderings
- $\delta_{CP}$  in the whole  $[-\pi, \pi]$  range

- DUNE vs (NOvA, T2K): DUNE sensitivity will be higher than  $3\sigma$  level for some values of  $\delta_{CP}$  in 7 years
- NOvA vs T2K: due to the shorter baseline T2K is more sensitive to the  $\delta_{CP}$  phase than NOvA

# Joint T2K, NOvA и DUNE sensitivities to the unknown oscillation parameters



- It is expected that NOvA+T2K will give the first prediction of the neutrino mass ordering and the  $\delta_{CP}$  phase (for some values) at  $> 5\sigma$  level

- joint T2K+NOvA+DUNE sensitivities to the neutrino mass ordering and the  $\delta_{CP}$  phase:

Sensitivity	$\min(n\sigma)$	range of $\delta_{CP}$ values $> 5\sigma$
Joint	11.4	$[-2.51, -0.63] \cup [0.63, 2.51]$ (60%)

# Conclusion

During the study of the neutrino oscillation phenomenon:

- There was a comparison of long-baseline accelerator neutrino experiments such as T2K, NOvA (currently working), and the future DUNE experiment.
- The unified shell for FD spectra modeling was developed in the GNA.
- Individual and joint sensitivities of three experiments to the unknown oscillation parameters were calculated. The unknown parameters are the neutrino mass ordering and the CP phase.

Thank you for your attention!

